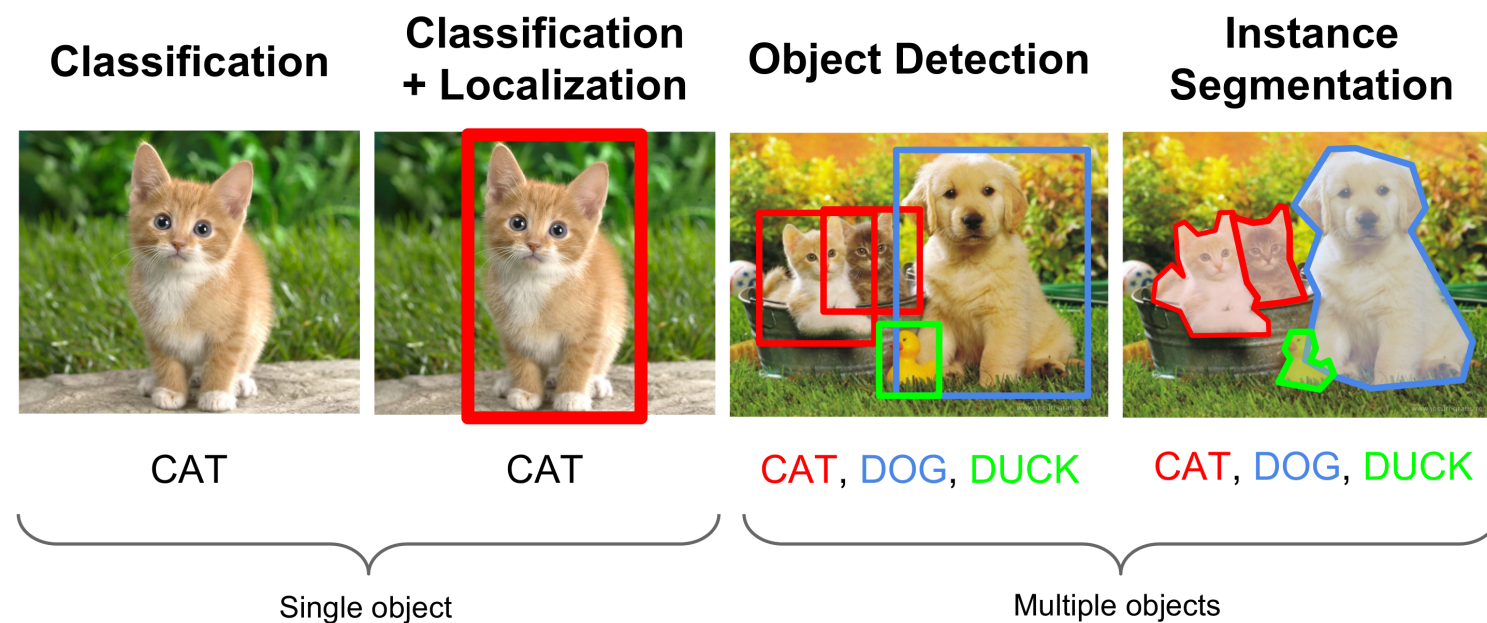


# **Communication Algorithms via Deep Learning**

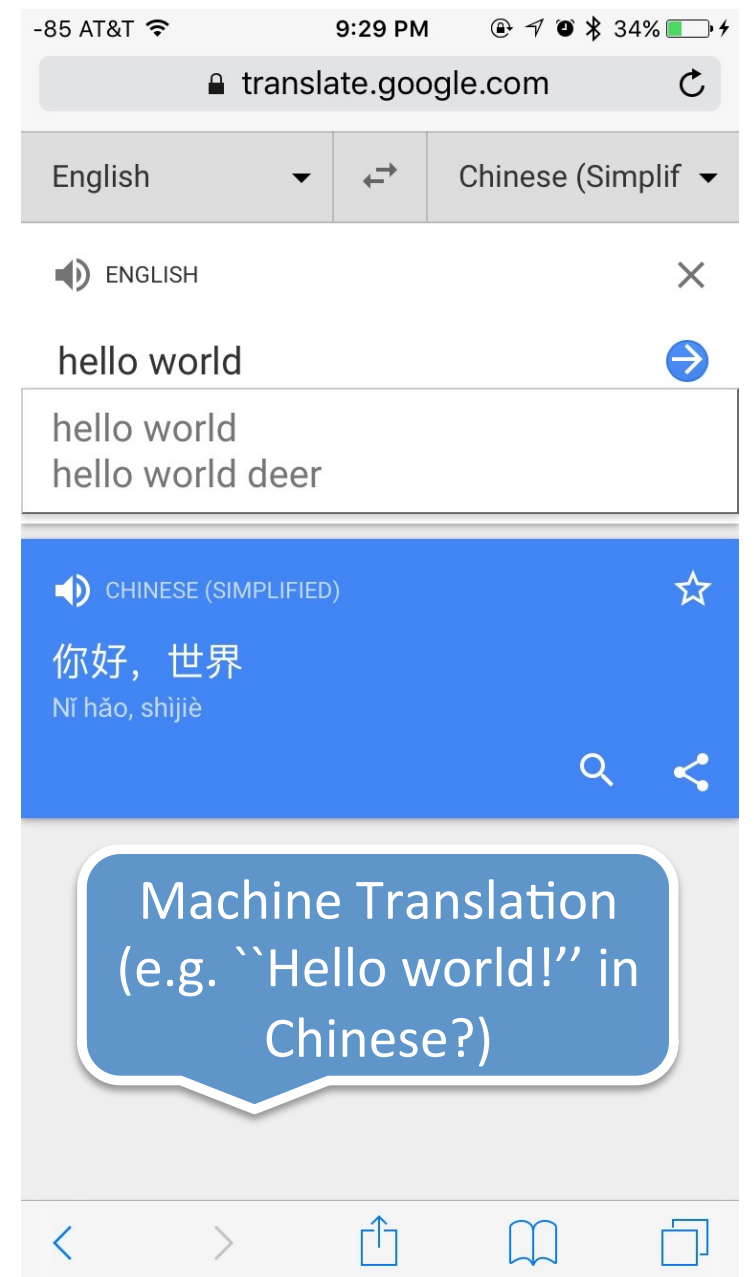
**Pramod Viswanath**

**University of Illinois**

# Deep learning is part of daily life



**Computer Vision**



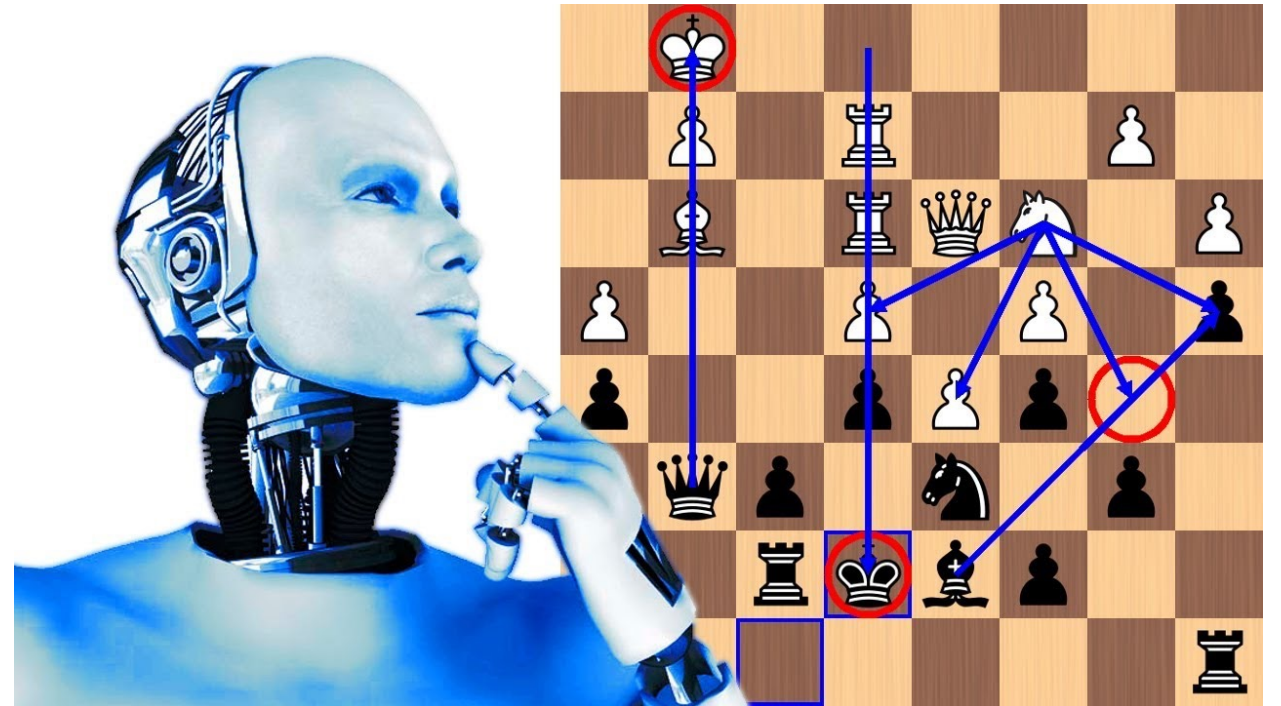
**natural language processing**

# Model complexity

- Data (image, languages): **hard to model**
- Inference problems : **hard to model**
- Evaluation metrics: empirical, **human-validated**
- **Deep learning learns efficient models**

# Algorithmic complexity

- Models are simple
  - ▶ example: chess
  - ▶ unlimited training data
  - ▶ clear performance metrics
- **Challenge:** space of algorithms very large
- Deep learning learns sophisticated algorithms (Alphazero)



# Communication Algorithms

- Problems of great scientific/engineering relevance
  - ▶ mathematical models
  - ▶ precise performance metrics

# Two Goals

- New (deep learning) tools for classical problems
  - ▶ new state of the art
  - ▶ inherent practical value
- Insight into deep learning methods
  - ▶ no overfitting
  - ▶ interpretability

# One Lens

- **Scalability**

- ▶ train on small settings
- ▶ test on much larger settings (100x)

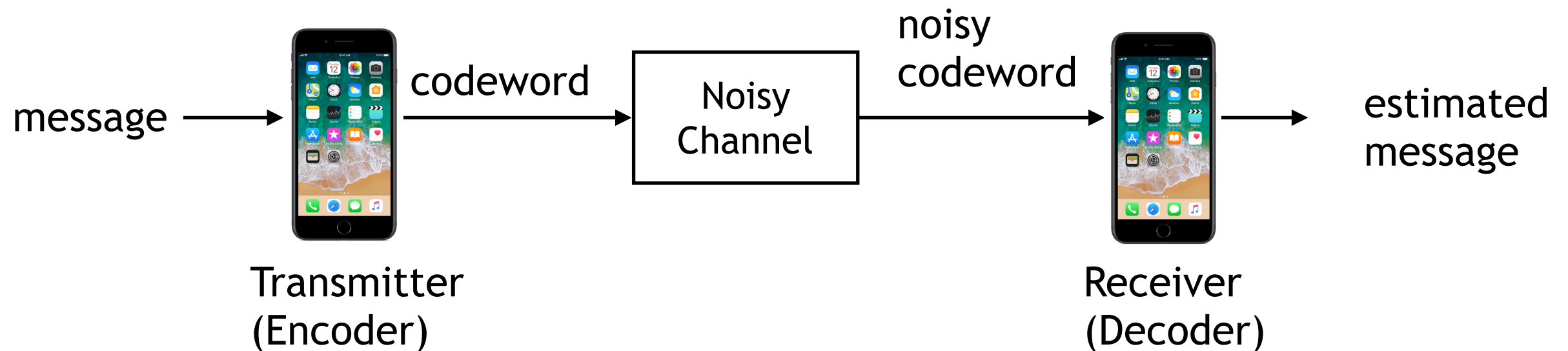
# Two Deep Learning Components

- **Recurrent neural networks**
  - ▶ in-built capability for recursion
- **Gated neural networks**
  - ▶ attention, GRU, LSTM



# Communication

- Models are simple
- Performance metrics are clear (e.g. Bit Error Rate)
- Designing a robust encoder/decoder is critical
- **Challenge** : space of encoder/decoder mappings very large

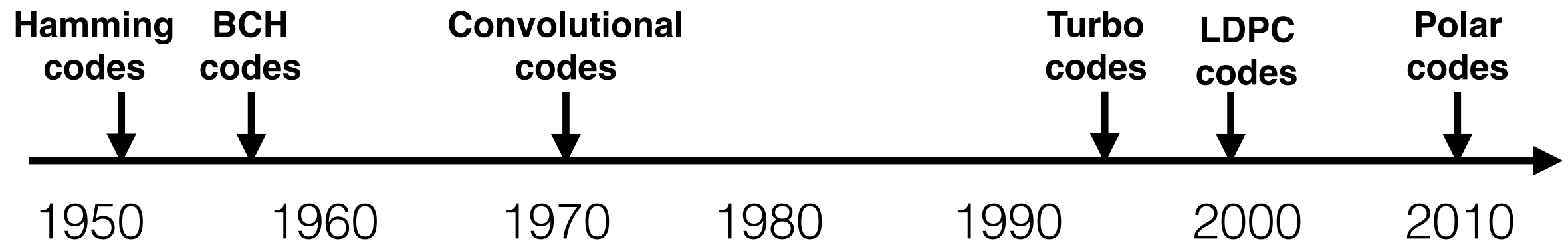


# Design of codes

- Technical Communities



- Eureka moments

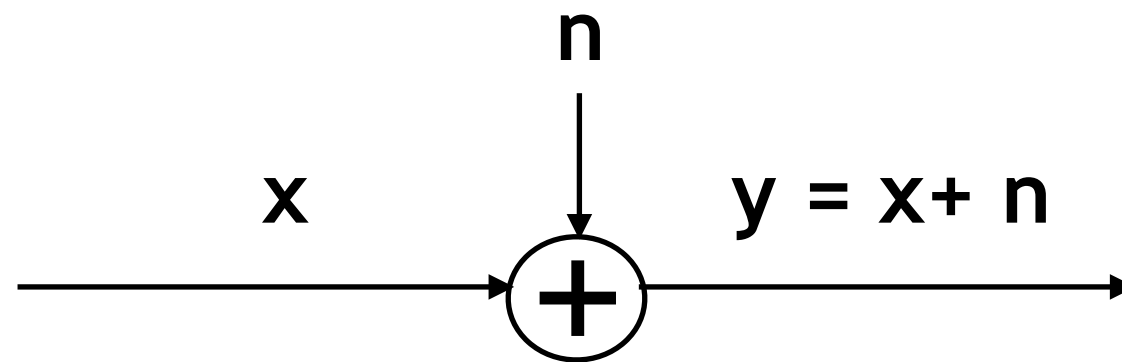


- Huge practical impact



# Classical Model

- Additive White Gaussian Noise (AWGN) channels



- **Very good codes**
  - turbo, LDPC, polar codes

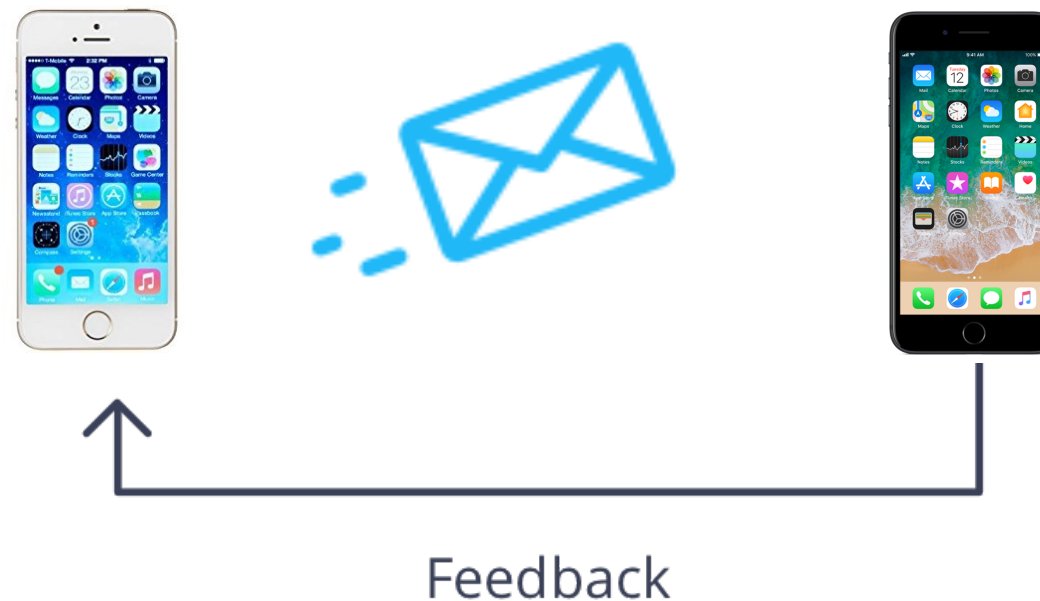
# Central goal

Automate the search for codes  
and decoders via deep learning

But there are many challenges.  
Fundamentally different from other  
machine learning problems.

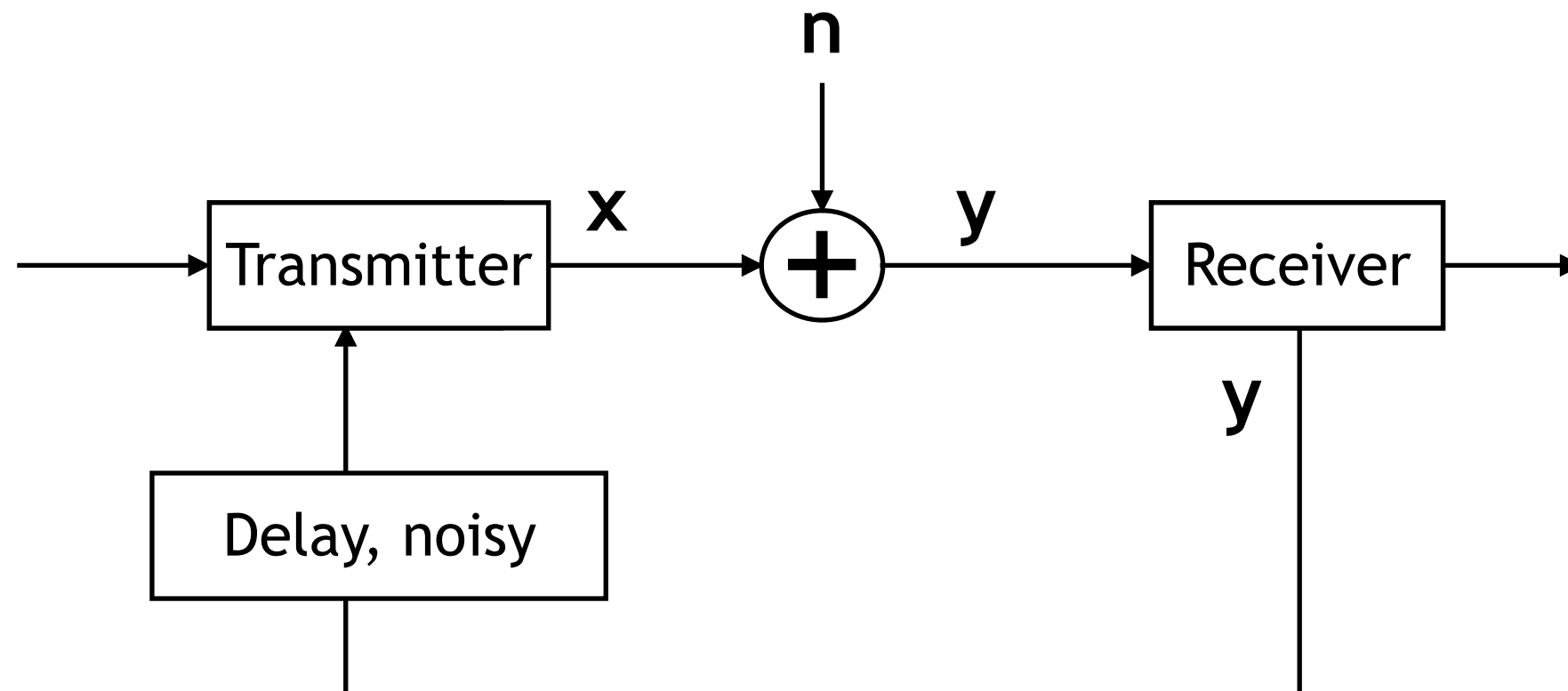
# Channel with Feedback

- Learning the **encoder**
- Learning the **decoder**



# AWGN channels with feedback

- AWGN channel from transmitter to receiver
- Output fed back to the transmitter



# Literature

- ARQ
  - practical, minimal feedback
- Noiseless output feedback
  - Improved reliability
  - Coding schemes
    - Schalkwijk-Kailath, '66

# Literature

- Noisy feedback
  - Existing schemes perform poorly
  - Negative results
  - Linear codes very bad (Kim-Lapidoth-Weissman, '07)
- Wide open

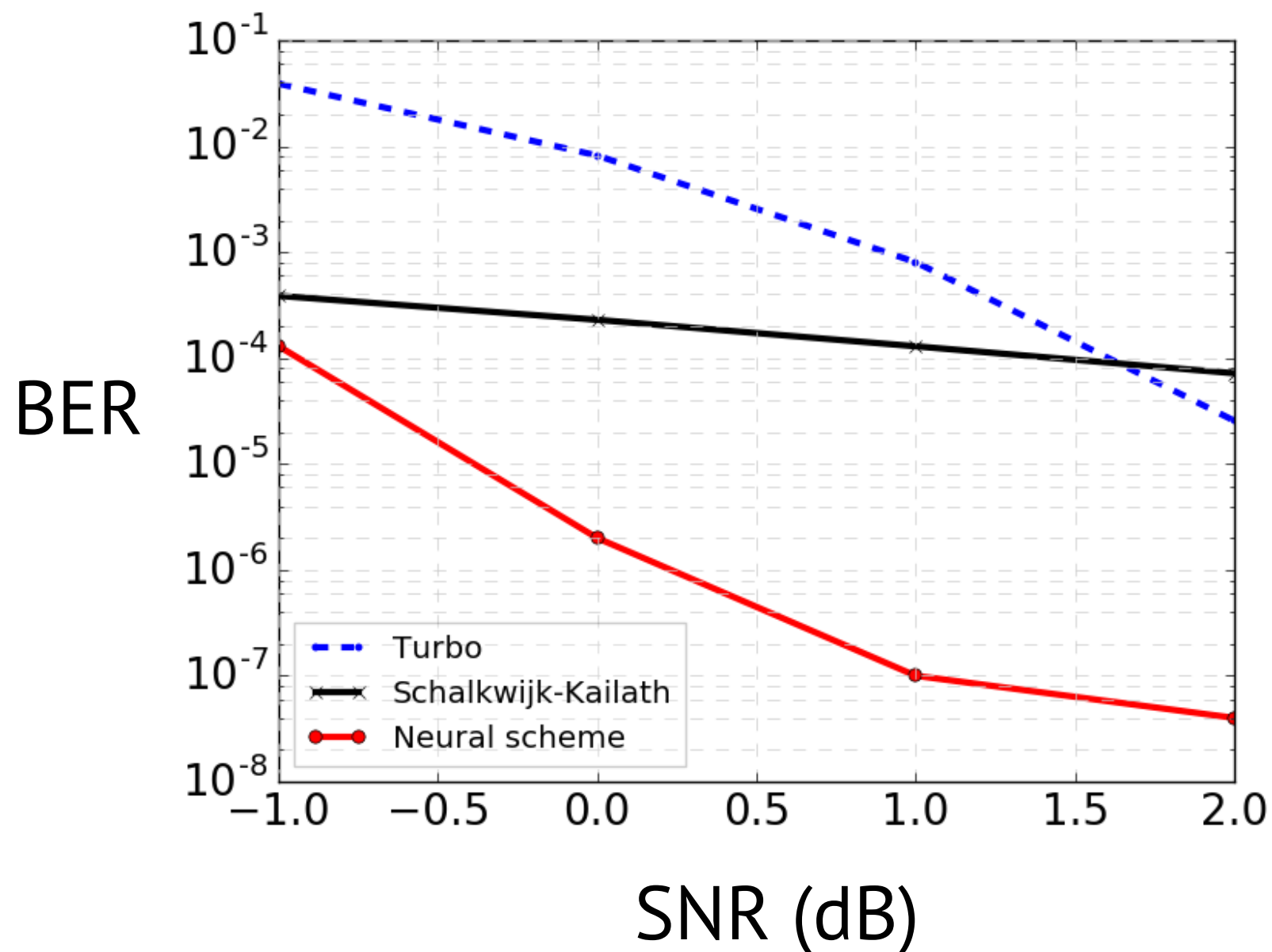


# Focus of this talk

- AWGN channels with noisy feedback
- Challenge:  
How to combine noisy feedback and message causally?
- Model encoder and decoder as neural networks and train

# Quick Summary: 100x Better Reliability

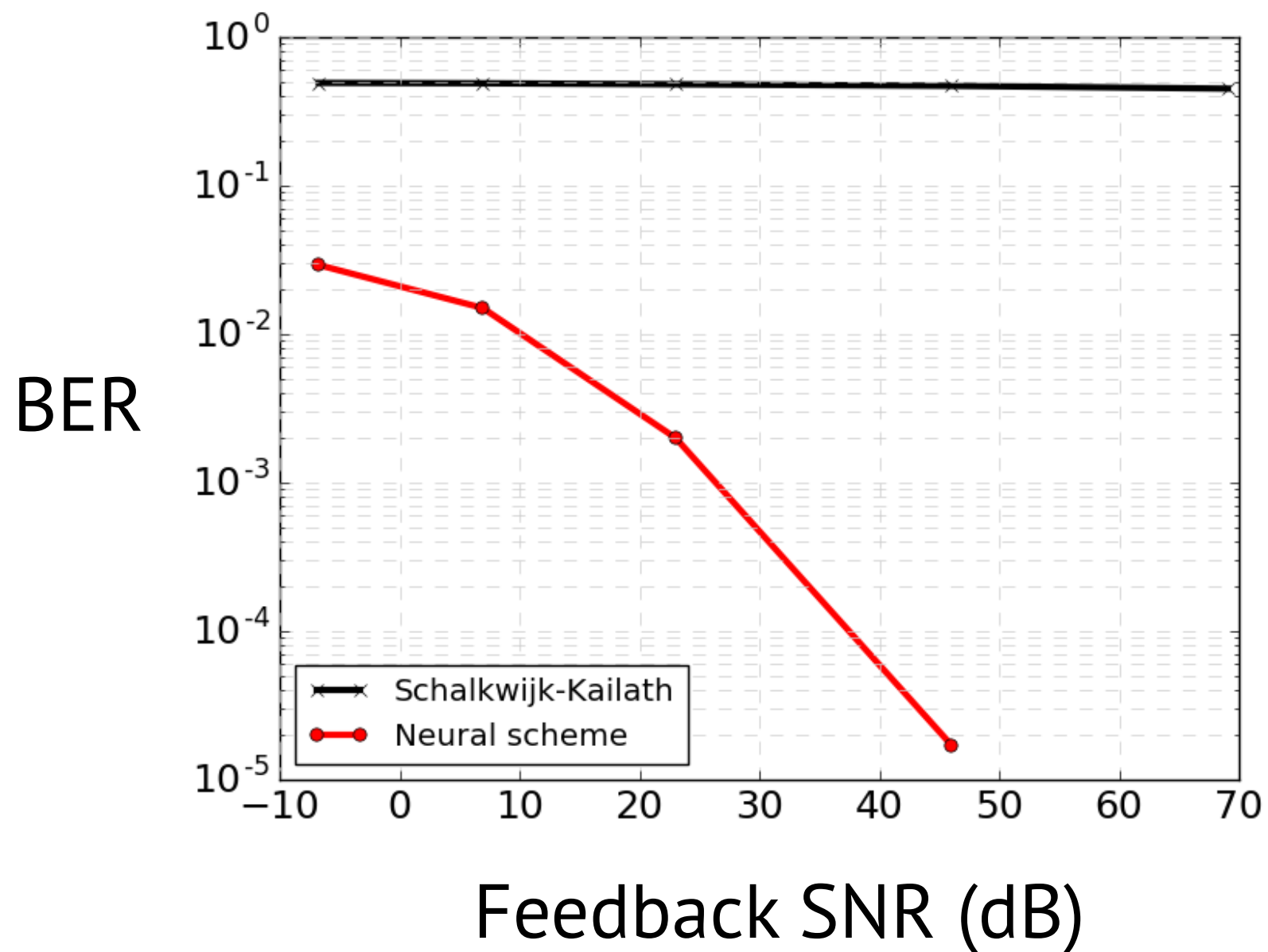
- Feedback with machine precision



(Rate 1/3, 50 bits)

# Robustness

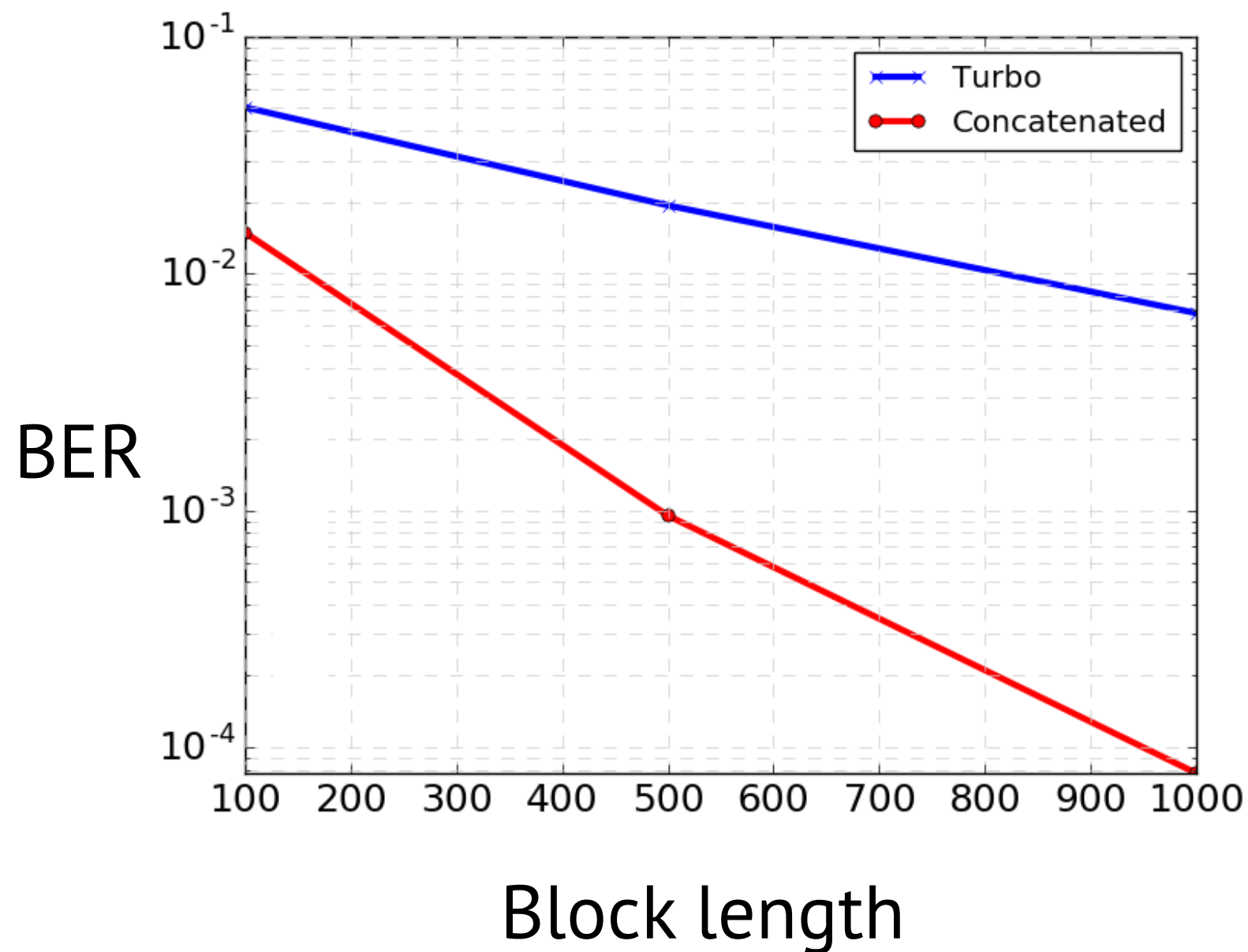
- varying noise in the feedback



(Rate 1/3, 50 bits, 0dB)

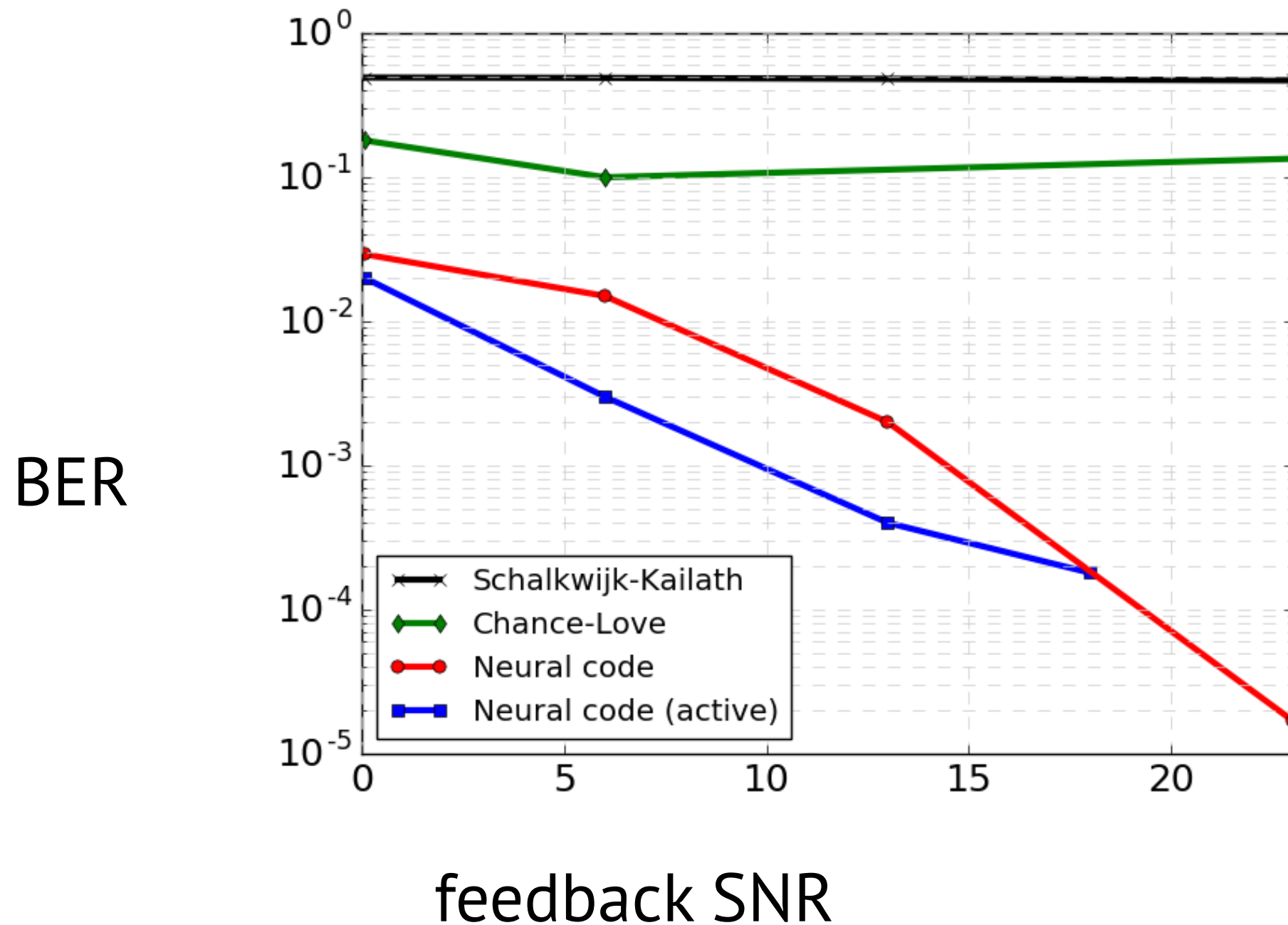
# Improved error exponents

- BER decays faster



# Coded Feedback

- BER decays even faster



# Practical Considerations

- **Delay in feedback**
  - interleaving; can handle large delays
- Opportunistic use of feedback capability
  - cellular and WiFi environments
- Composes with ARQ

# Neural feedback code

Architectural innovations

Ideas from communications

Computationally simple

# Other open problems

- Encoder is fixed (e.g. standardization)
- Practical channels are not always AWGN
- How to adapt the decoder to practical channels?



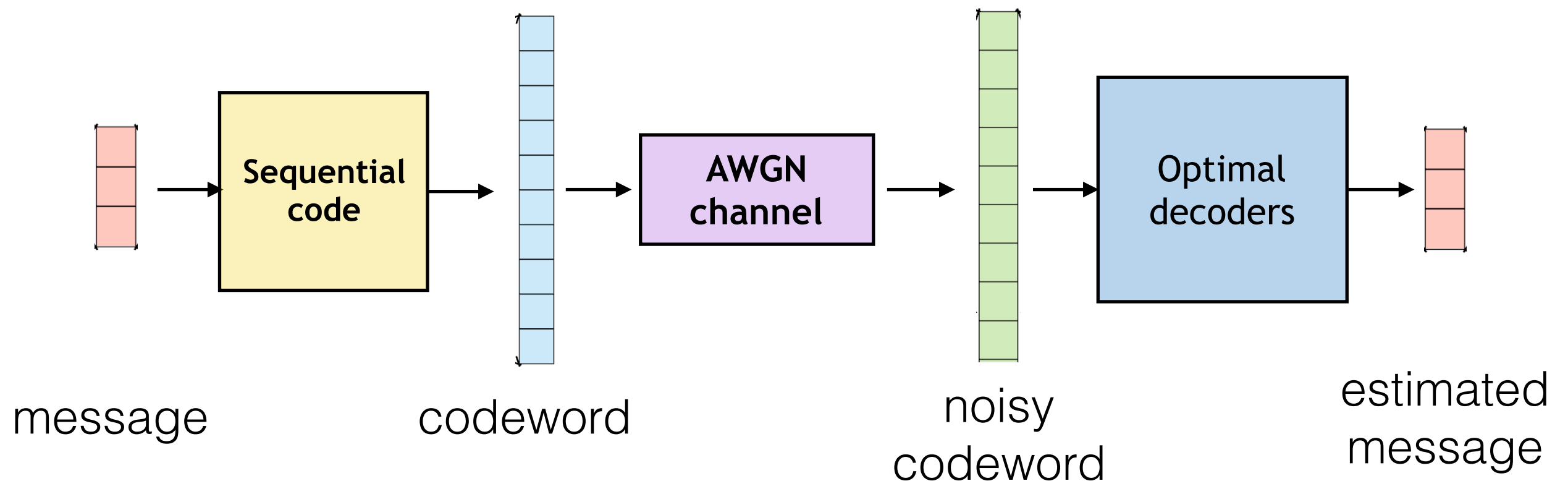


# Sequential codes

- Convolutional codes, turbo codes
  - 3G/4G mobile communications (e.g., in UMTS and LTE)
  - (Deep space) satellite communications
- Provably achieve performance close to fundamental limit
- Natural recurrent structure aligned with RNN

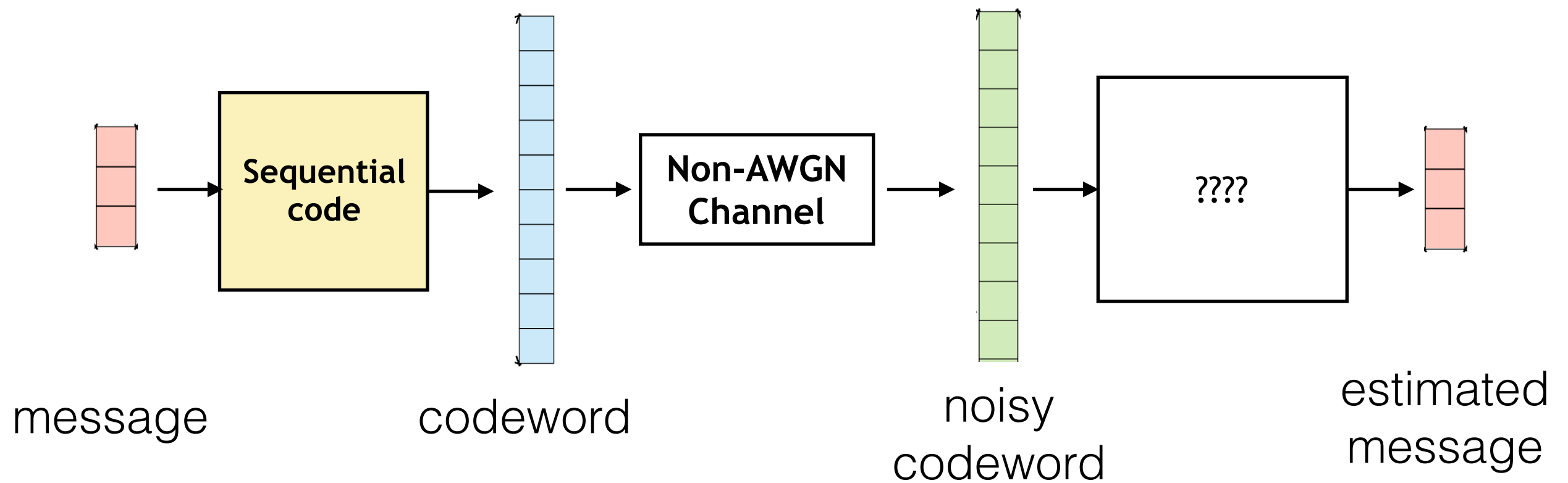
# Sequential codes under AWGN

- Optimal decoders under AWGN
  - e.g. Viterbi, BCJR decoder for convolutional codes



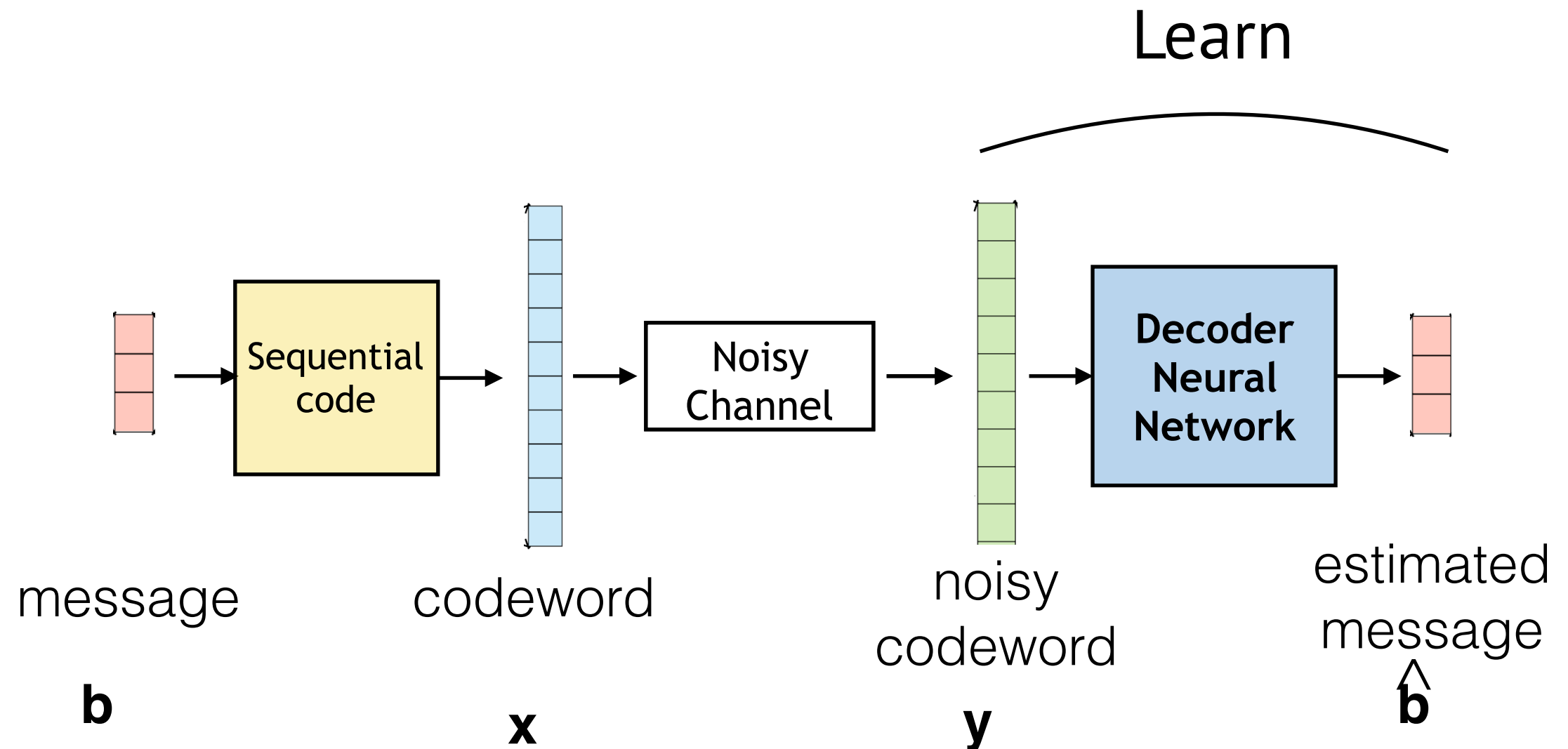
# Non-AWGN channel

Bursty noise



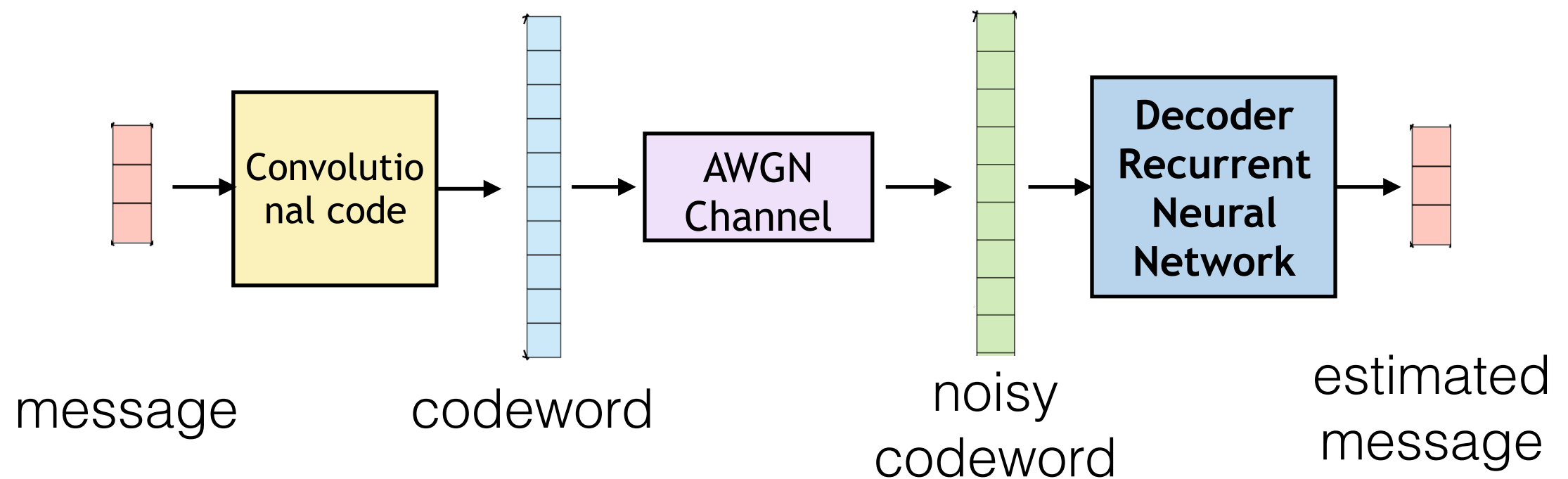
# Neural decoder

- Supervised training with (noisy codeword  $\mathbf{y}$ , message  $\mathbf{b}$ )



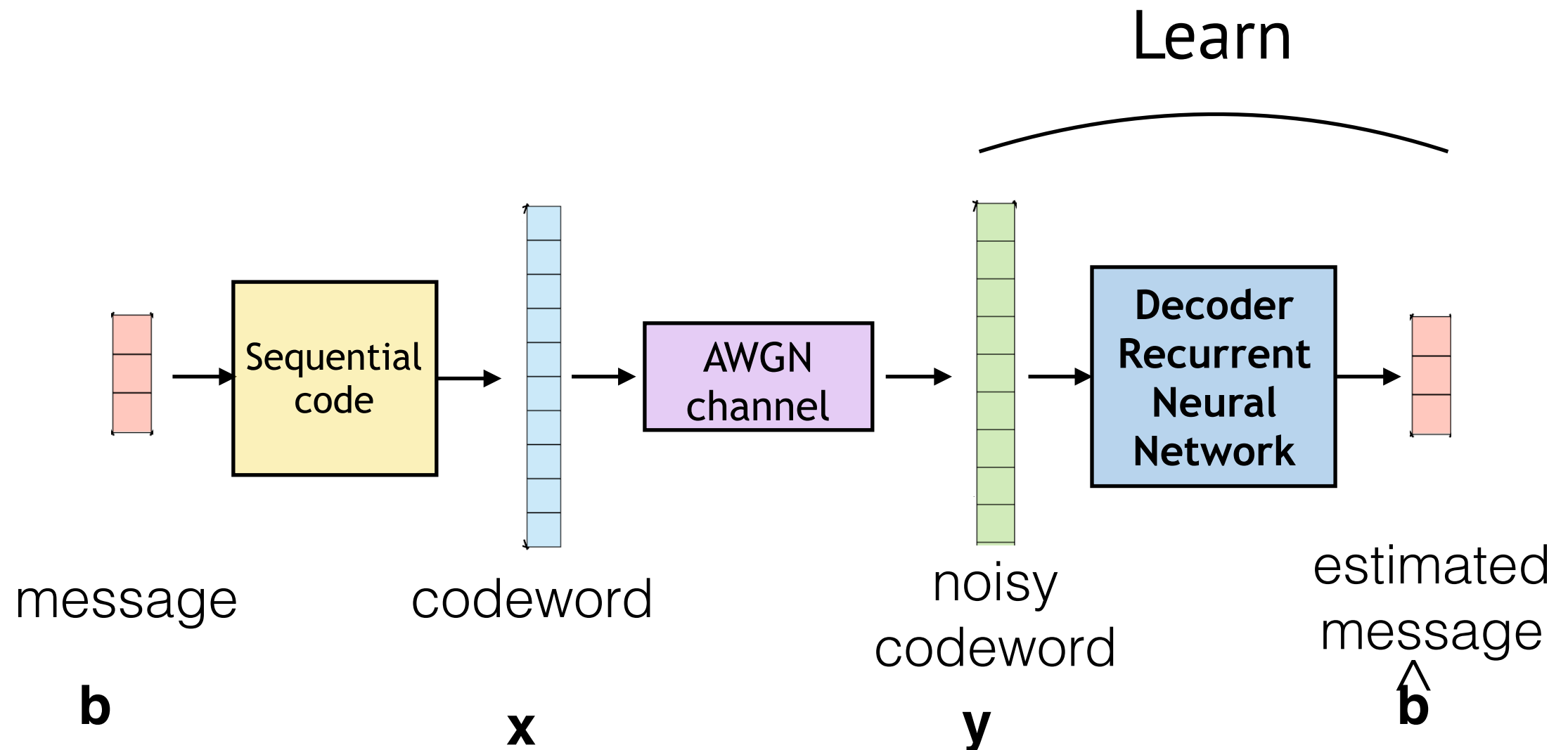
# Neural decoder under AWGN

- Model decoder as a Recurrent Neural Network



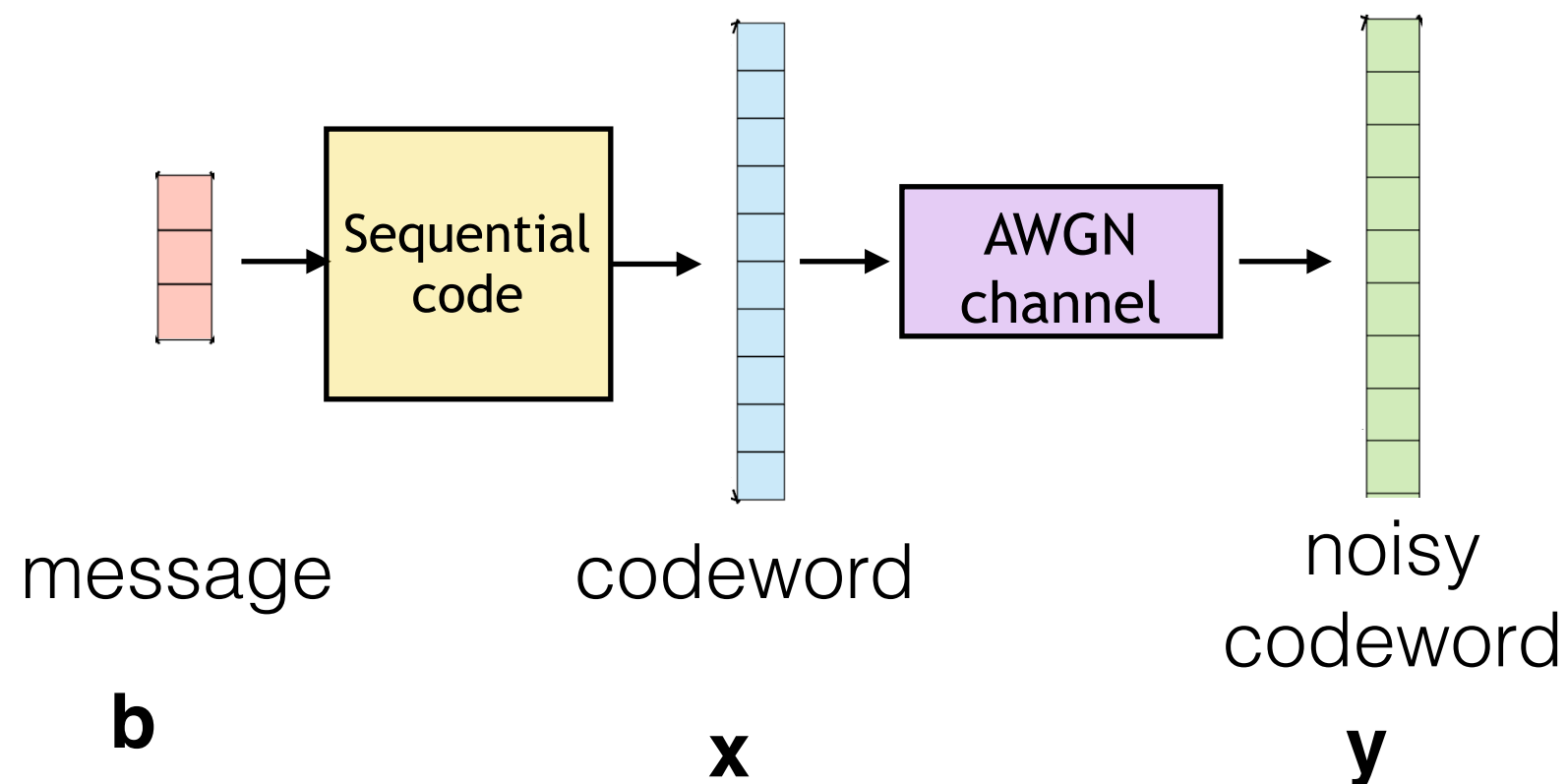
# Training

- Supervised training with (noisy codeword  $\mathbf{y}$ , message  $\mathbf{b}$ )
- Loss  $\mathbb{E}[(\mathbf{b} - \hat{\mathbf{b}})^2]$



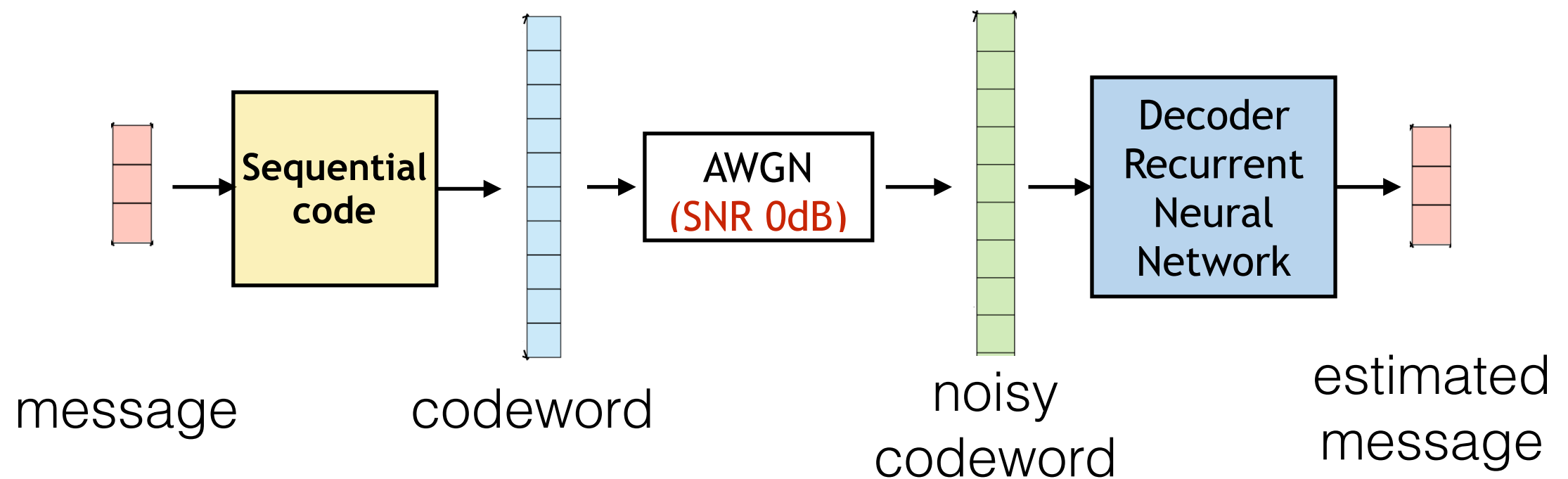
# Choice of training examples

- Training examples  $(\mathbf{y}, \mathbf{b})$  :
  - Length of message bits  $\mathbf{b} = (b_1, \dots, b_K)$
  - SNR of the noisy codeword  $\mathbf{y}$



# Choice of training examples

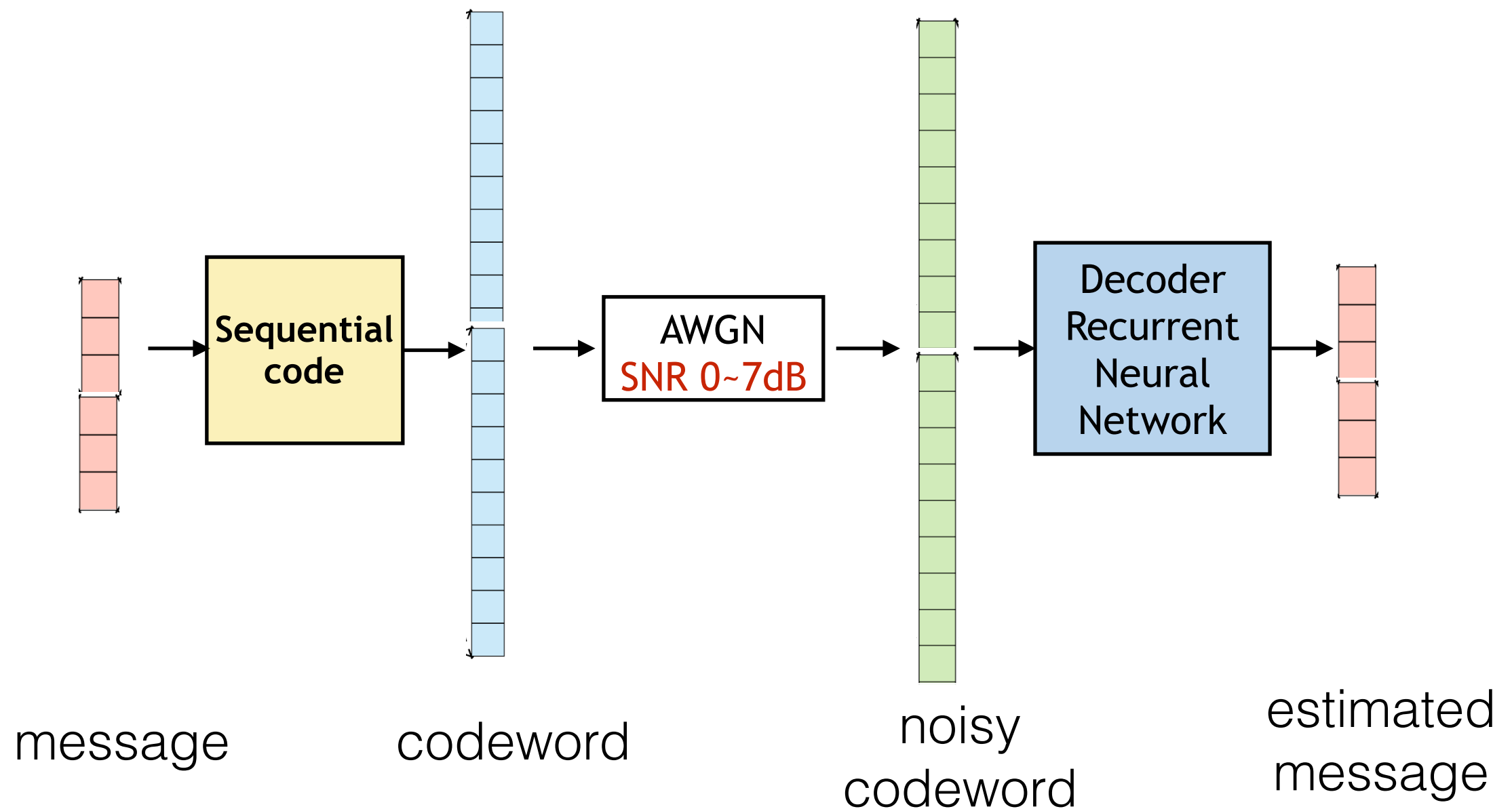
- Train at a block length 100, fixed SNR (0dB)



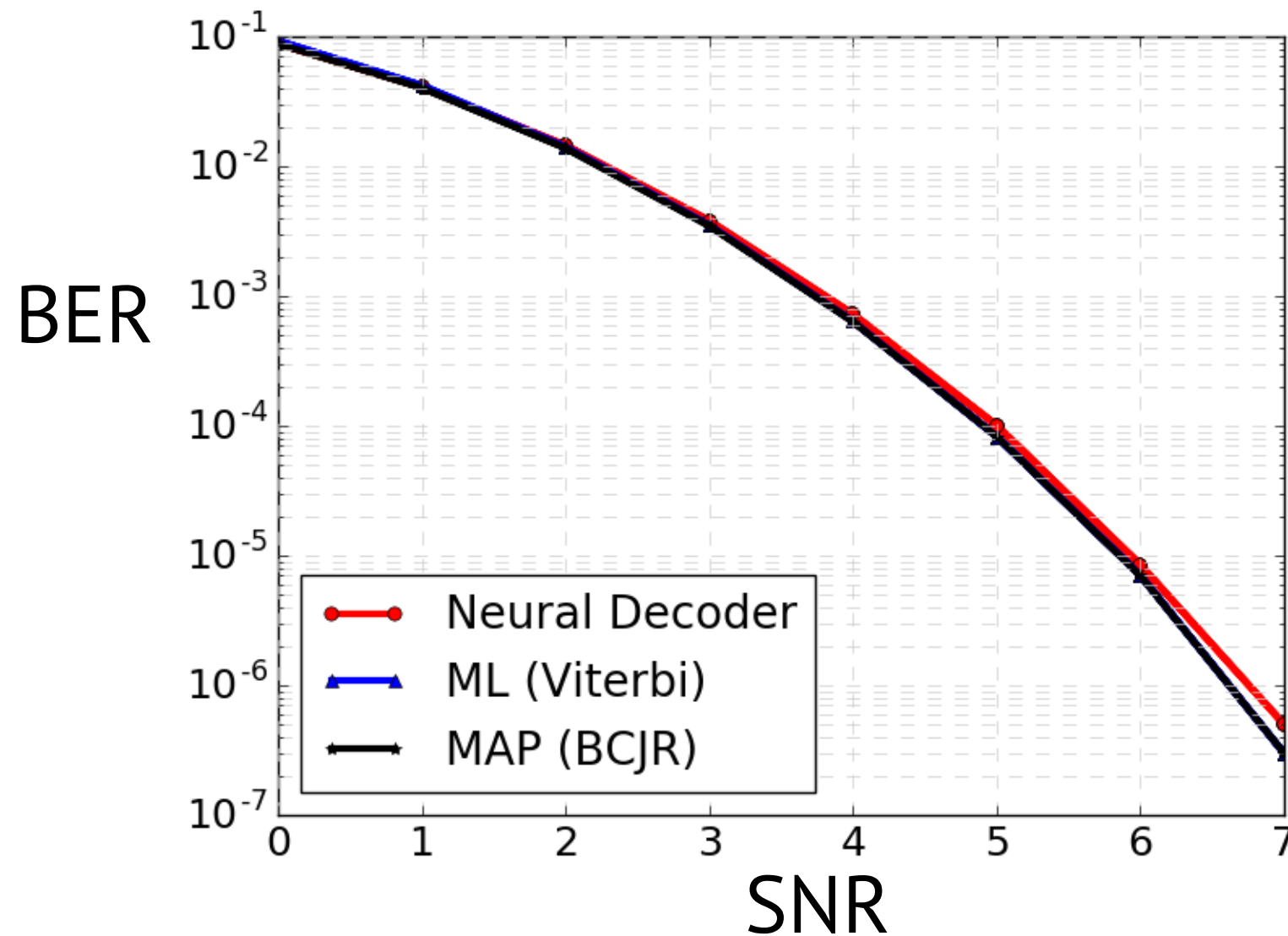


# Choice of training examples

- Train at a block length 100, fixed SNR (0dB)



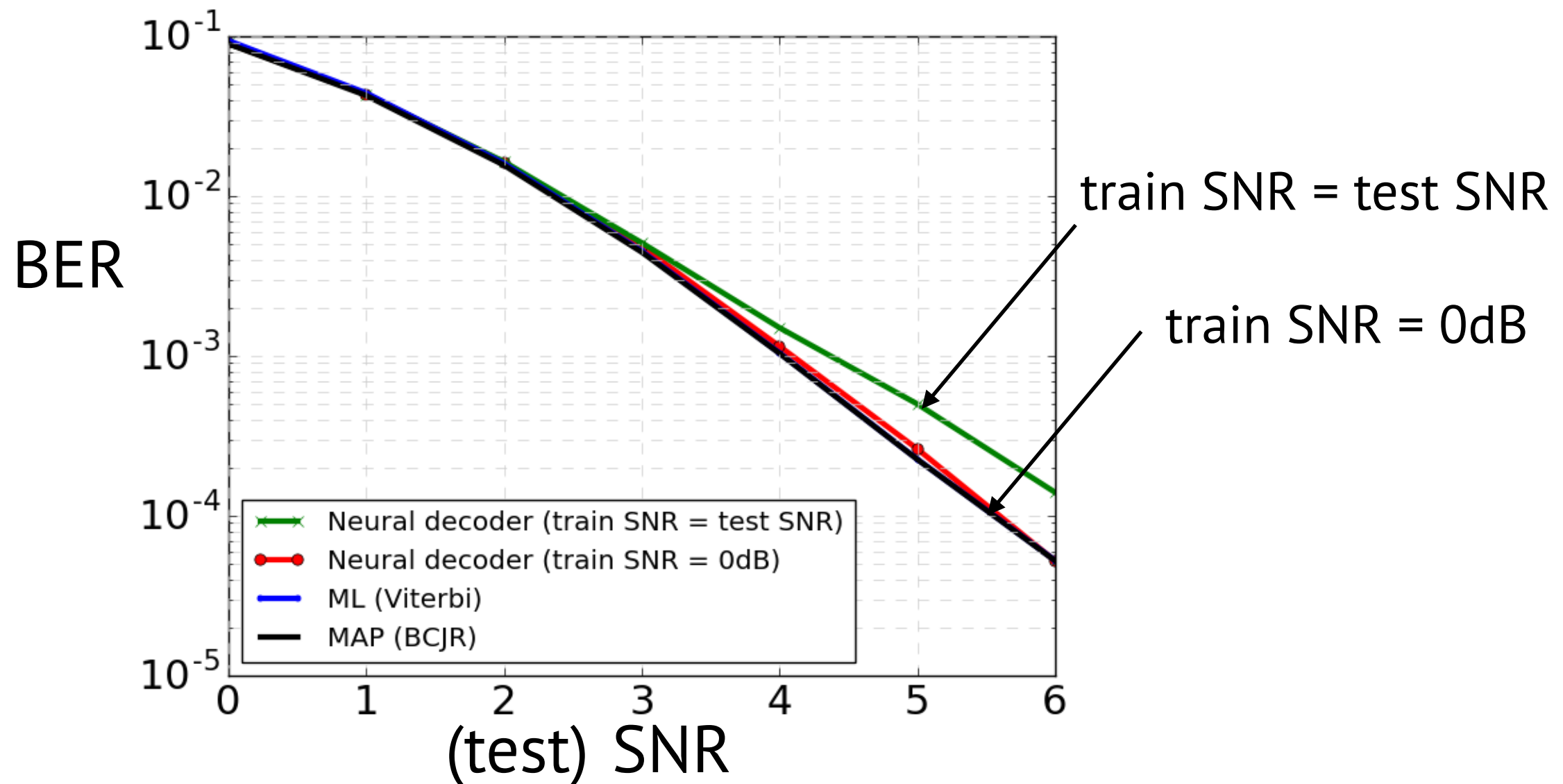
# Results: 100x scalability



Train: block length = 100, SNR=0dB    Test: block length = 10K

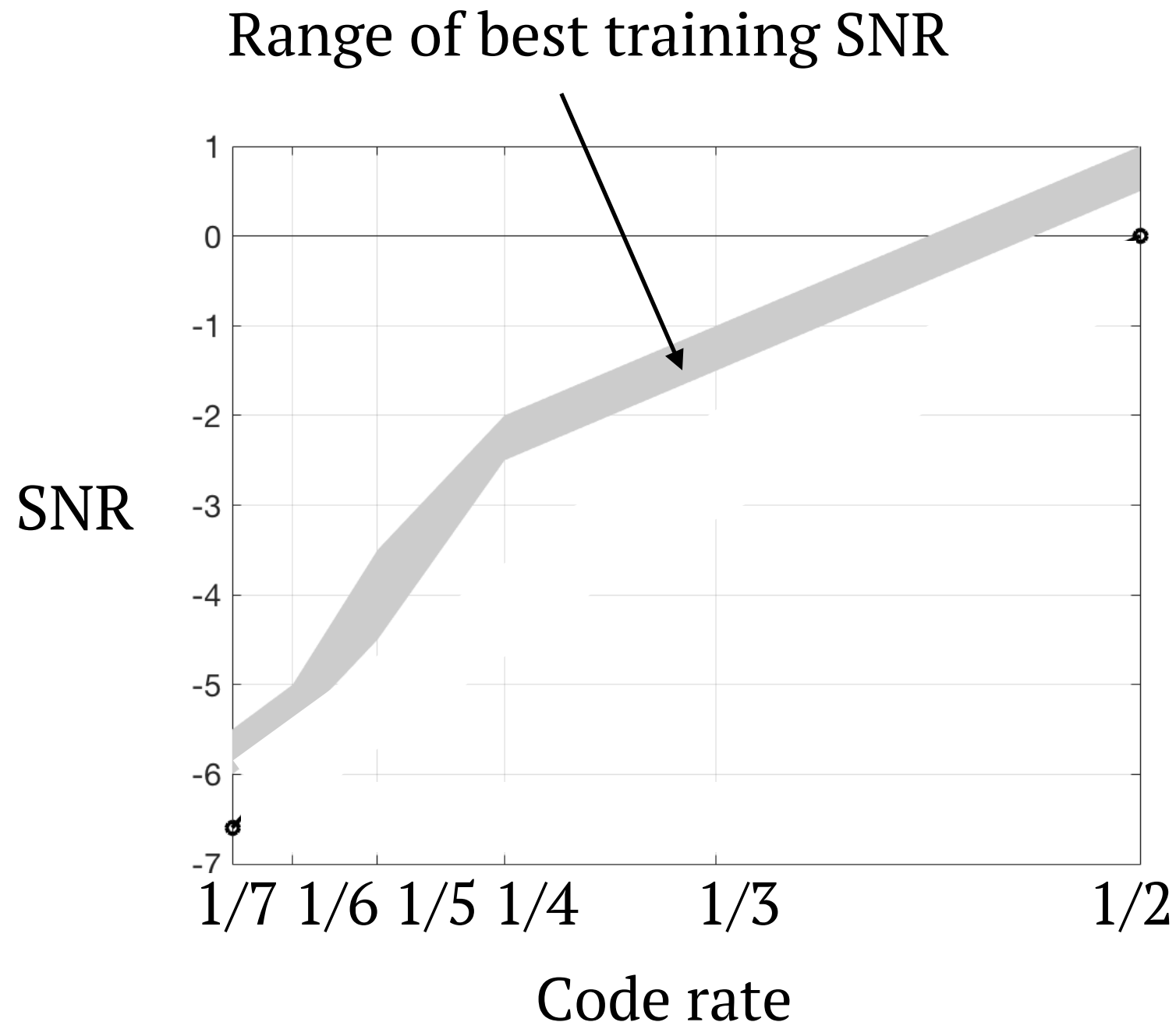
# Choice of training examples

- Training equal to test SNR?



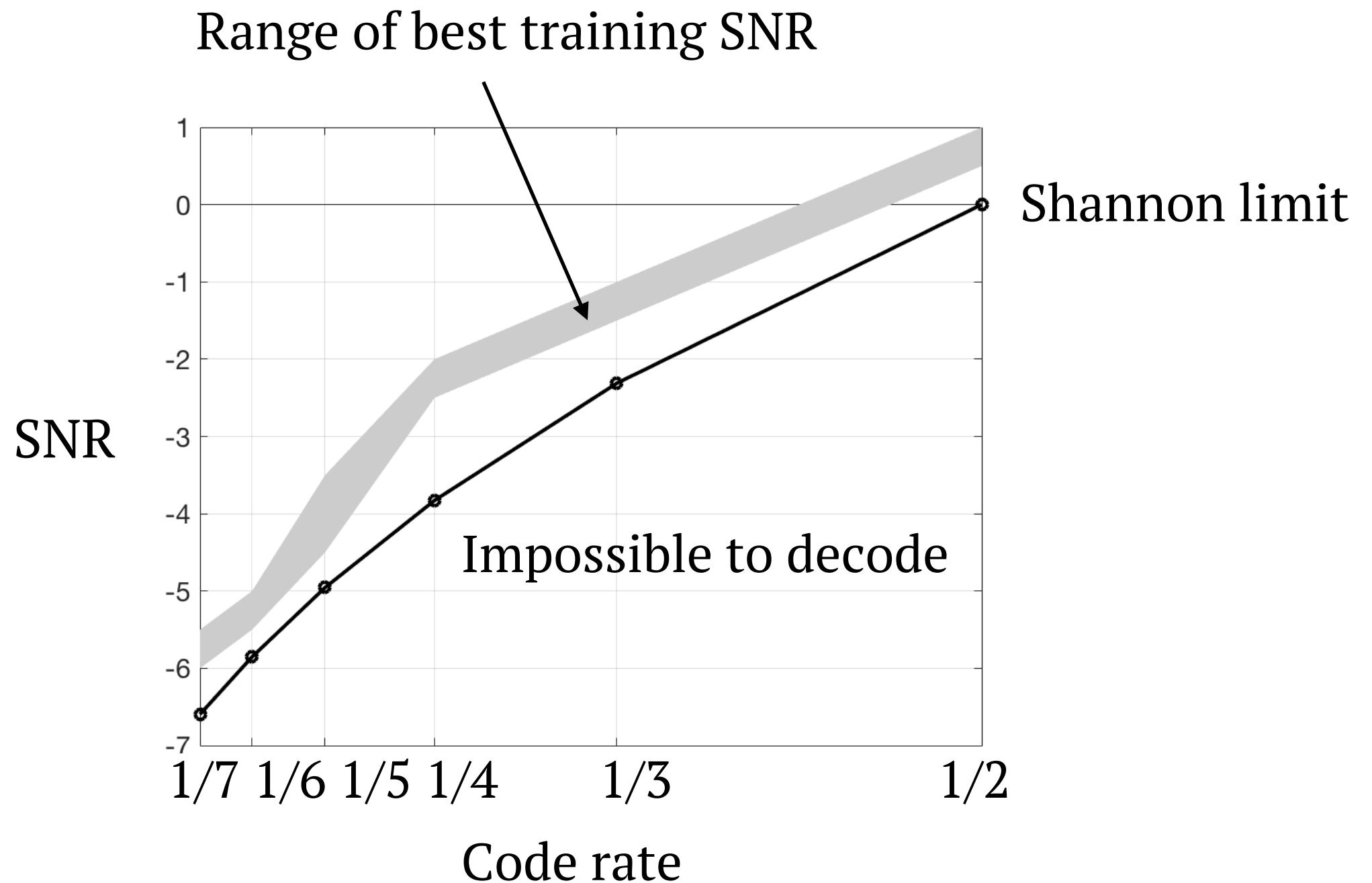
# Choice of training examples

- Empirically find best training SNR for different code rates



# Choice of training examples

- Hardest training examples



# Training with Hard Examples

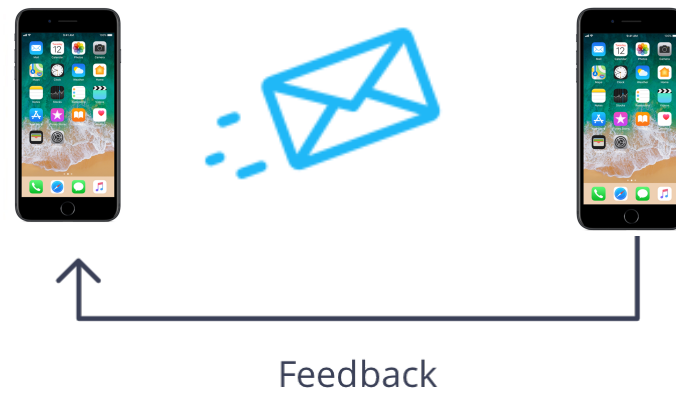
- **Idea of hardest training examples**
  - Training with noisy labels
  - Applies to problems where training examples can be chosen

# Lessons

- Use hard examples for training
- Neural network robust to model mismatch

# Many open problems

- Channels with feedback



- Network settings





# Theoretical Agenda

- Underpinning this talk
  - ▶ **Gated Recurrent Neural Networks**
  - ▶ Nonlinear dynamical systems
    - switched linear system
- **Learning theory meets Switched Dynamical Systems**
  - ▶ many open questions
  - ▶ basic technical/mathematical value

# Collaborators



# Neural encoder

- Two-phase scheme
  - e.g. maps information bits  $b_1, b_2, b_3$  to a length-6 code

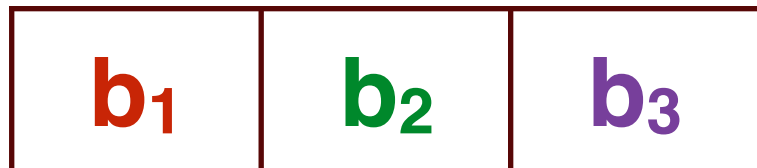
Phase I.



Phase II.



# Phase I: send information bits



Encoder gets  $y_1$   $y_2$   $y_3$

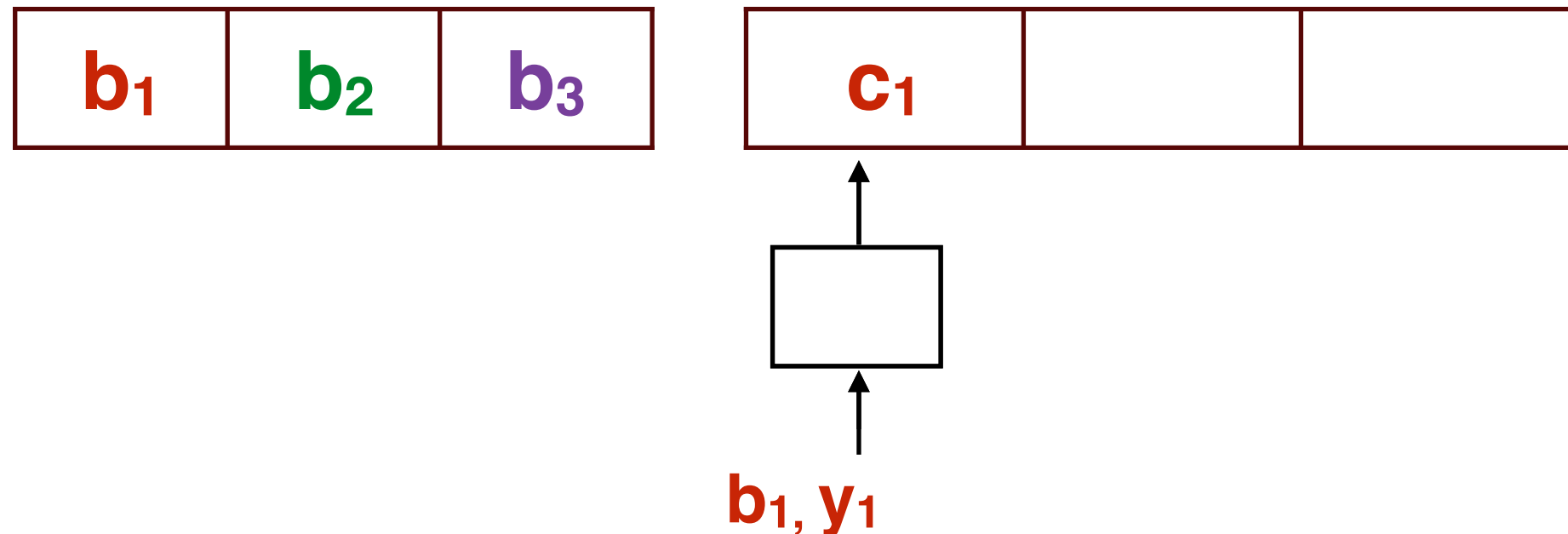
# Phase II: use feedback to generate parity bits



Encoder gets  $y_1$   $y_2$   $y_3$

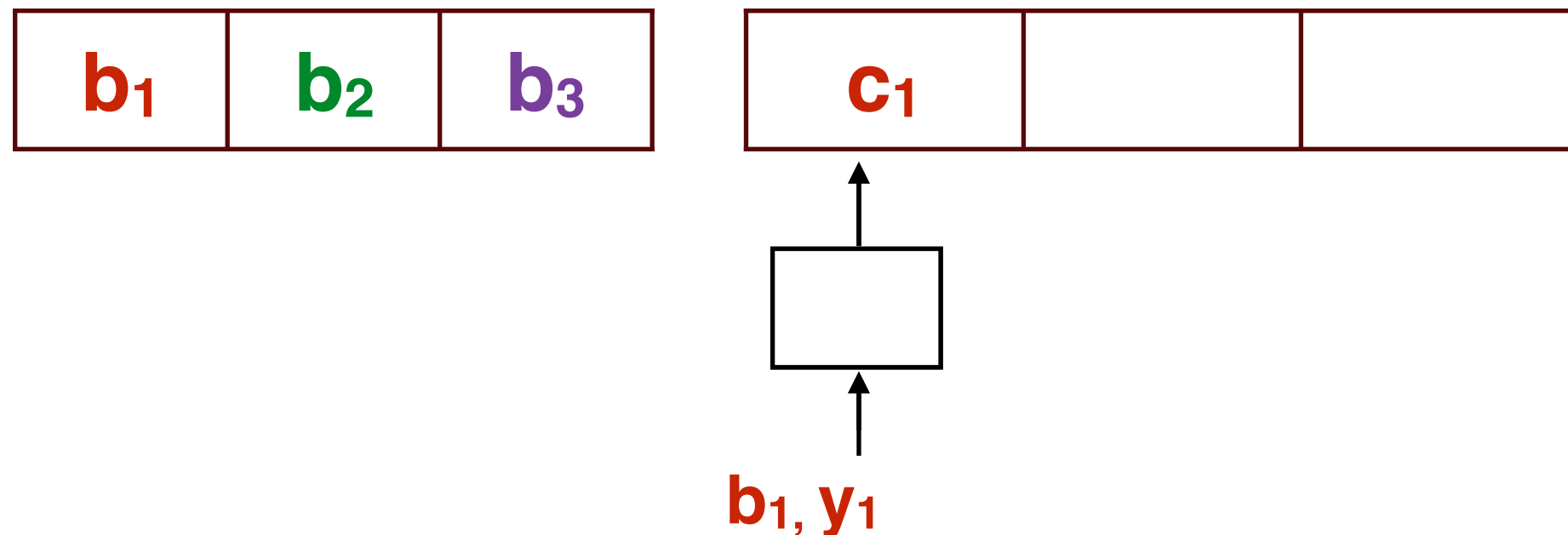
# Phase II: use feedback to generate parity bits

- Parity for  $b_1$



Encoder gets  $y_1$   $y_2$   $y_3$

# Phase II: use feedback to generate parity bits



Encoder gets

$y_1$

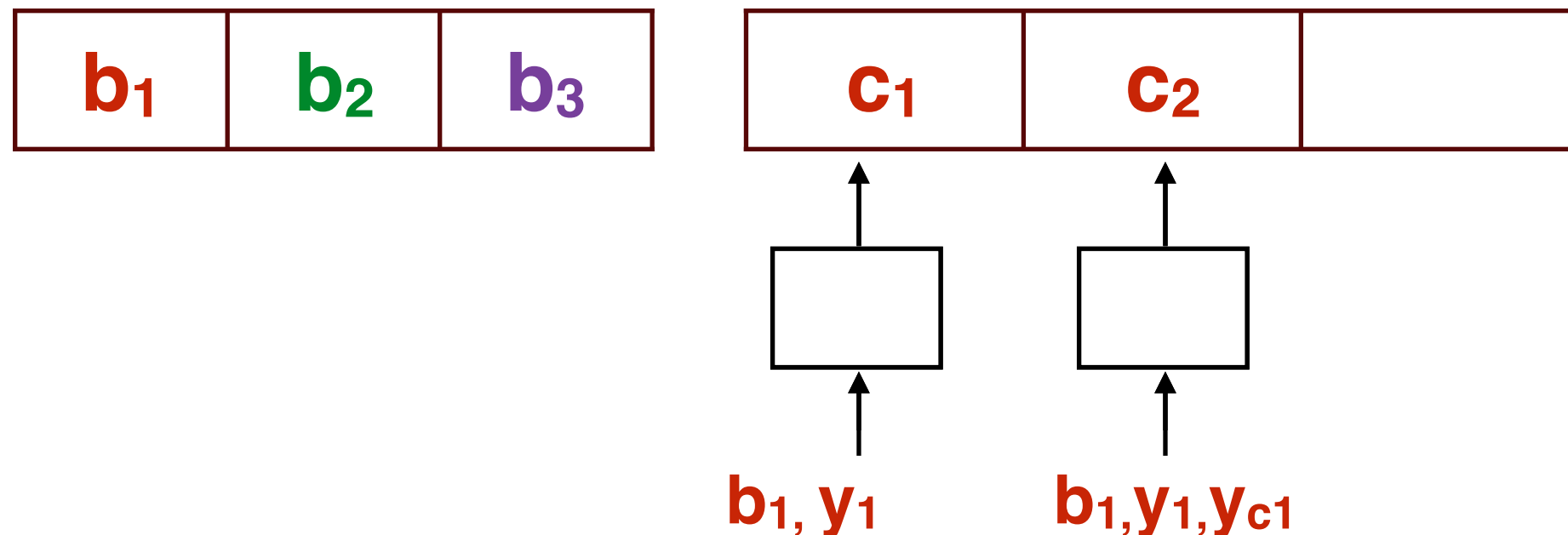
$y_2$

$y_3$

$y_{c1}$

# Phase II: use feedback to generate parity bits

- Another parity for  $b_1$ ?



Encoder gets

$y_1$

$y_2$

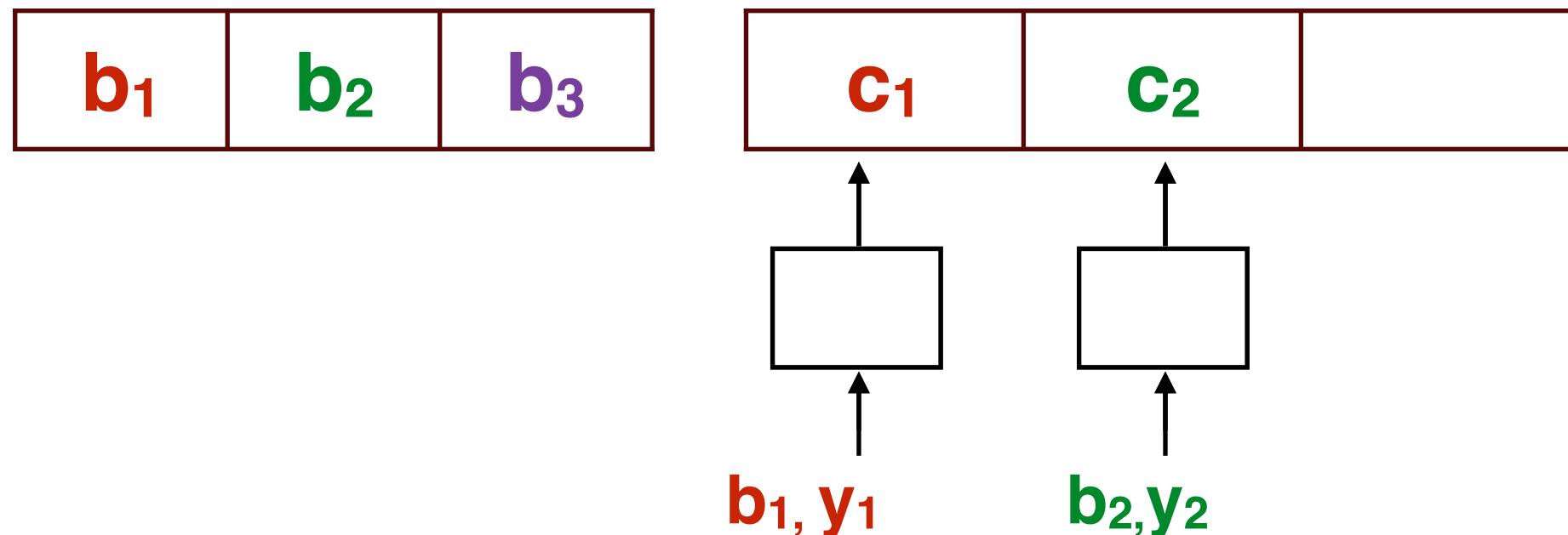
$y_3$

$y_{c1}$



# Phase II: use feedback to generate parity bits

- Parity for  $b_2$ ?



Encoder gets

$y_1$

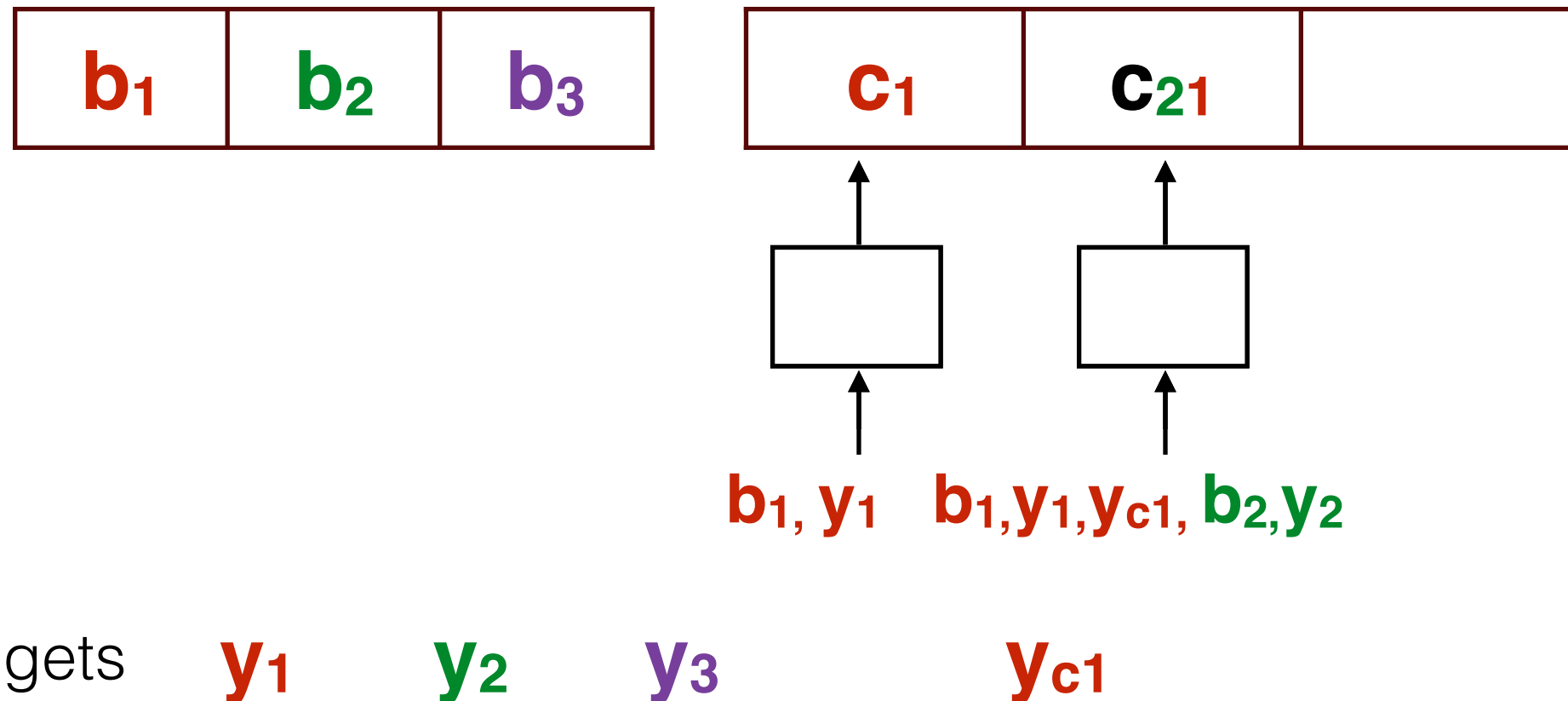
$y_2$

$y_3$

$y_{c1}$

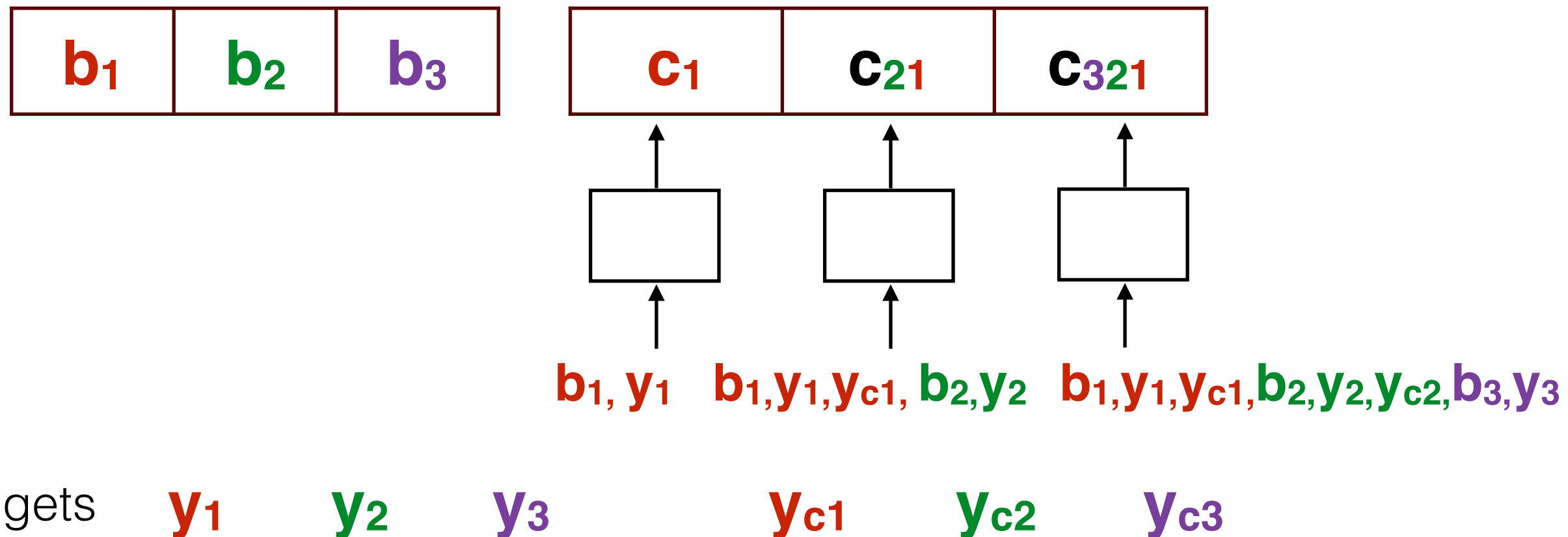
## Phase II: use feedback to generate parity bits

- Parity for  $b_2$  and  $b_1$



# Phase II: use feedback to generate parity bits

- Parity for  $b_3$ ,  $b_2$  and  $b_1$

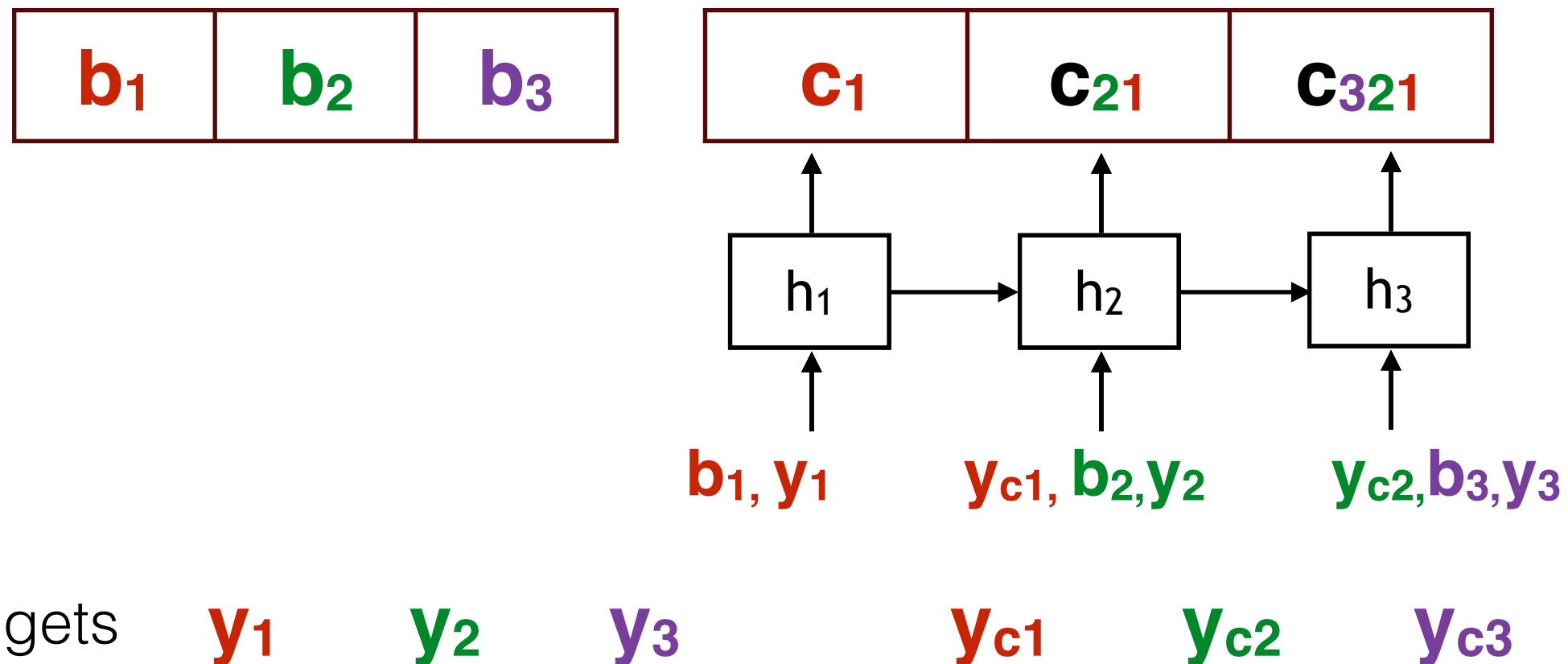


# Recurrent Neural Network for parity generation

- Sequential mapping with memory

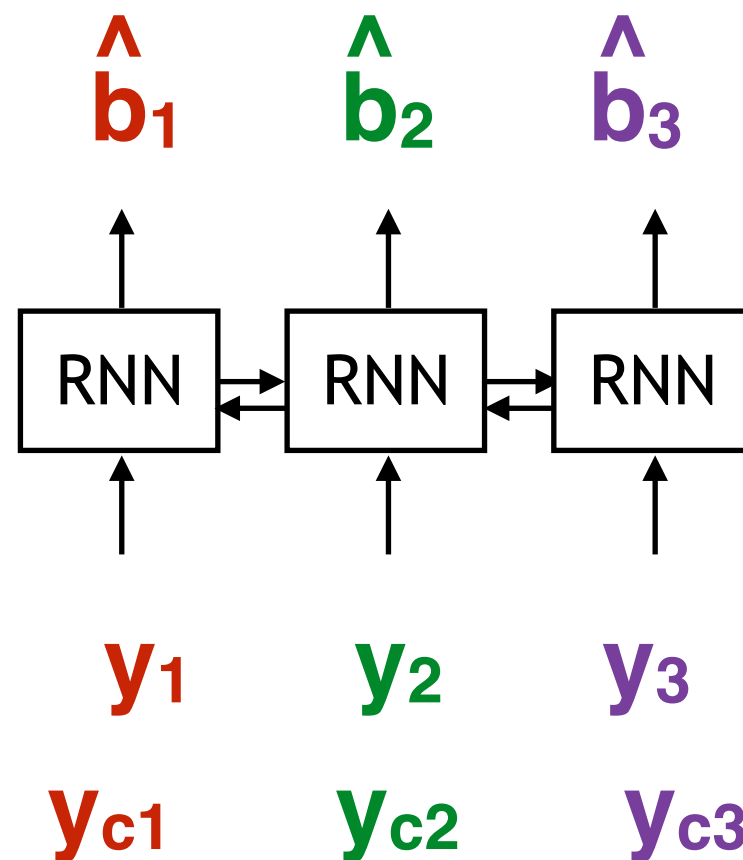
$$h_i = f(h_{i-1}, \text{Input}_i)$$

$$\text{Output}_i = g(h_i)$$

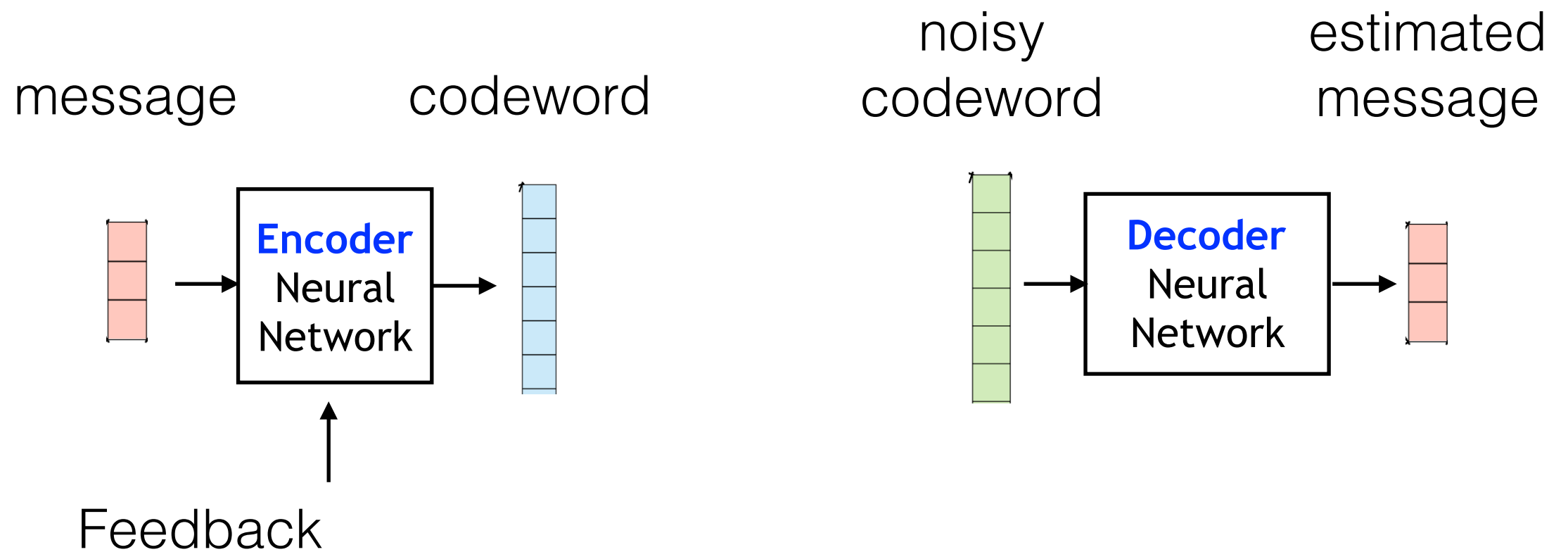


# Neural decoder

- Maps  $(y_1, y_2, y_3, y_{c1}, y_{c2}, y_{c3}) \rightarrow \hat{b}_1, \hat{b}_2, \hat{b}_3$  via bi-directional RNN

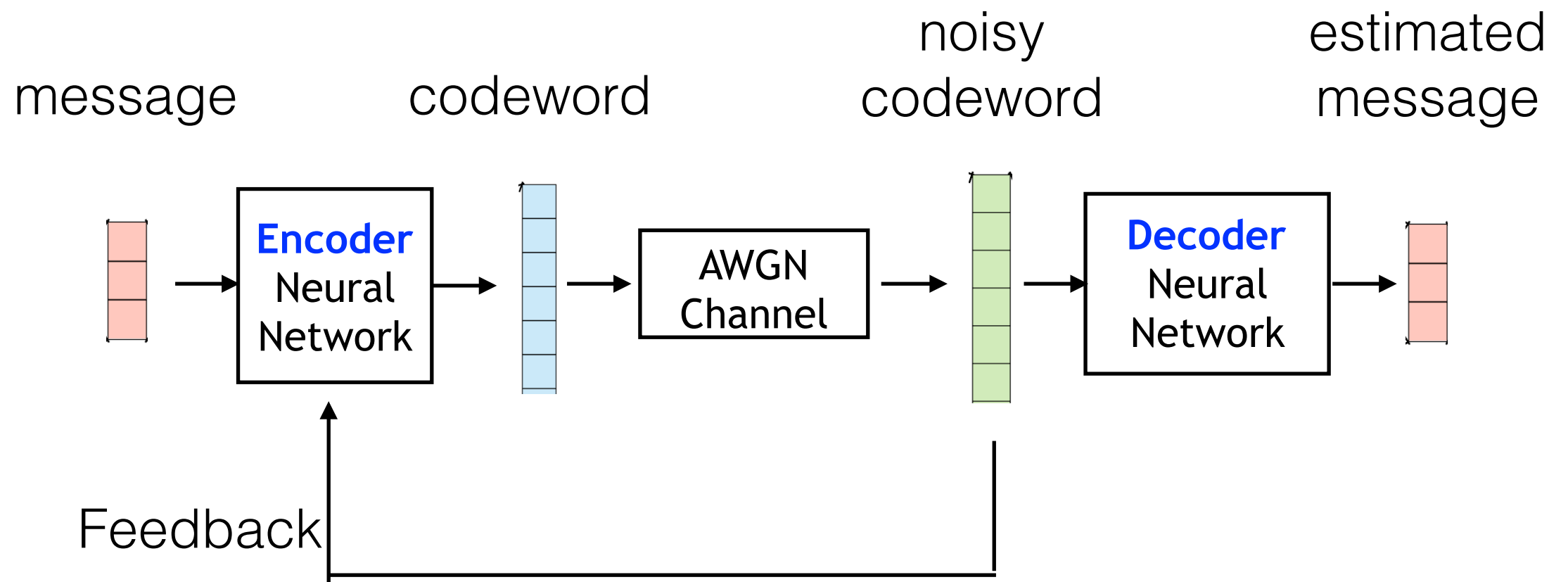


# Neural encoder and decoder



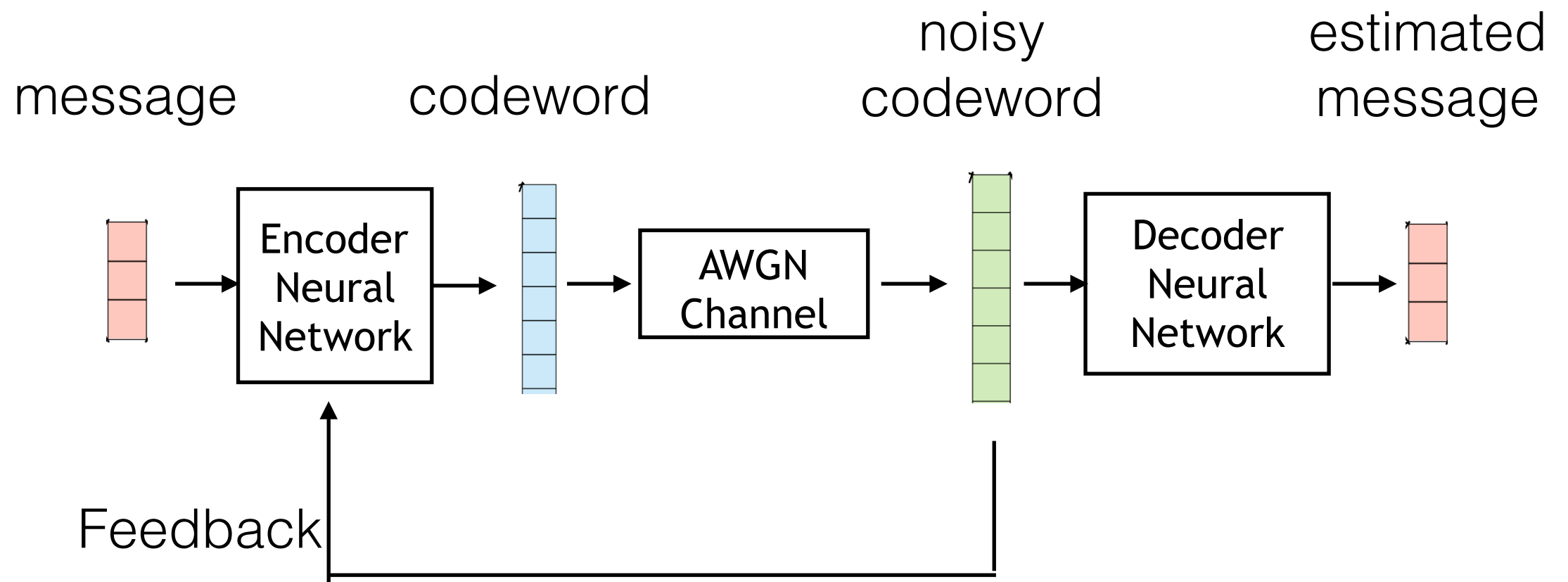
# Training

- Learn the **encoder** and **decoder jointly**



# Training

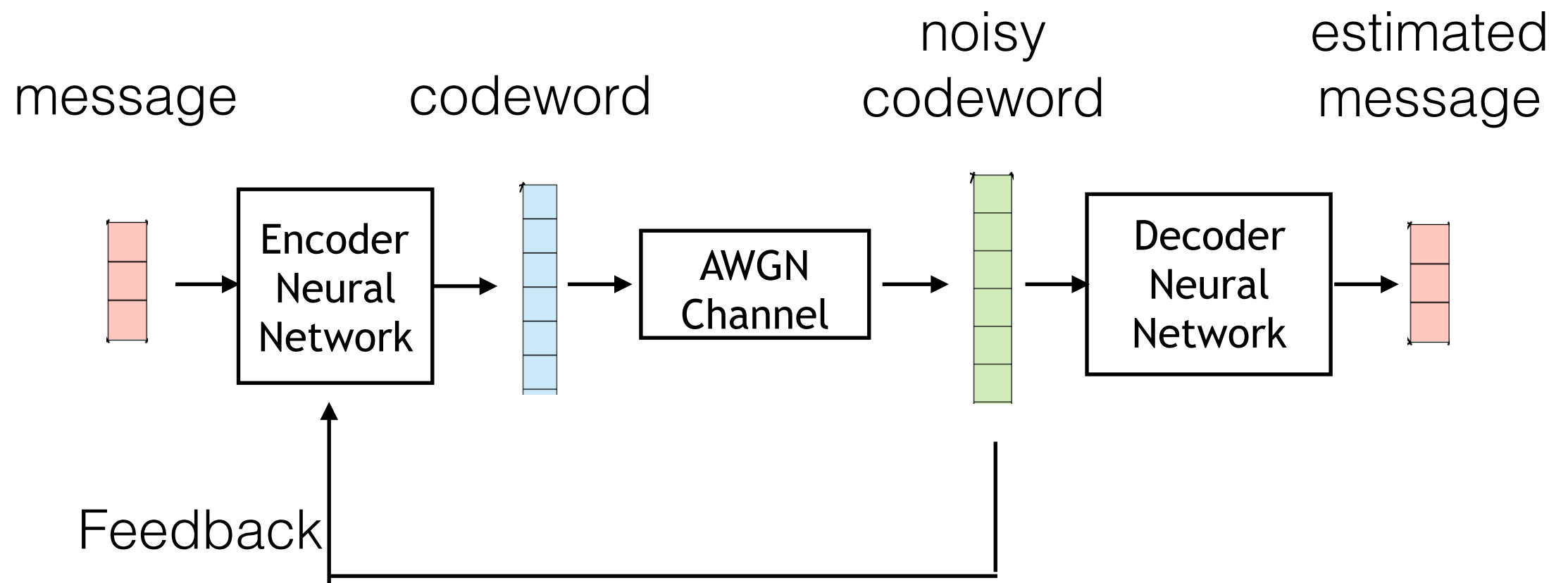
- Learn the **encoder** and **decoder jointly**
  - **Challenge:** Gradients have to pass through decoder





# Training

- Learn the **encoder** and **decoder jointly**
  - **Challenge:** Gradients have to pass through decoder



- **Encoder simpler than decoder**

# Supervised Training

- Auto-encoder training : (input,output) = (**b**,**b**)

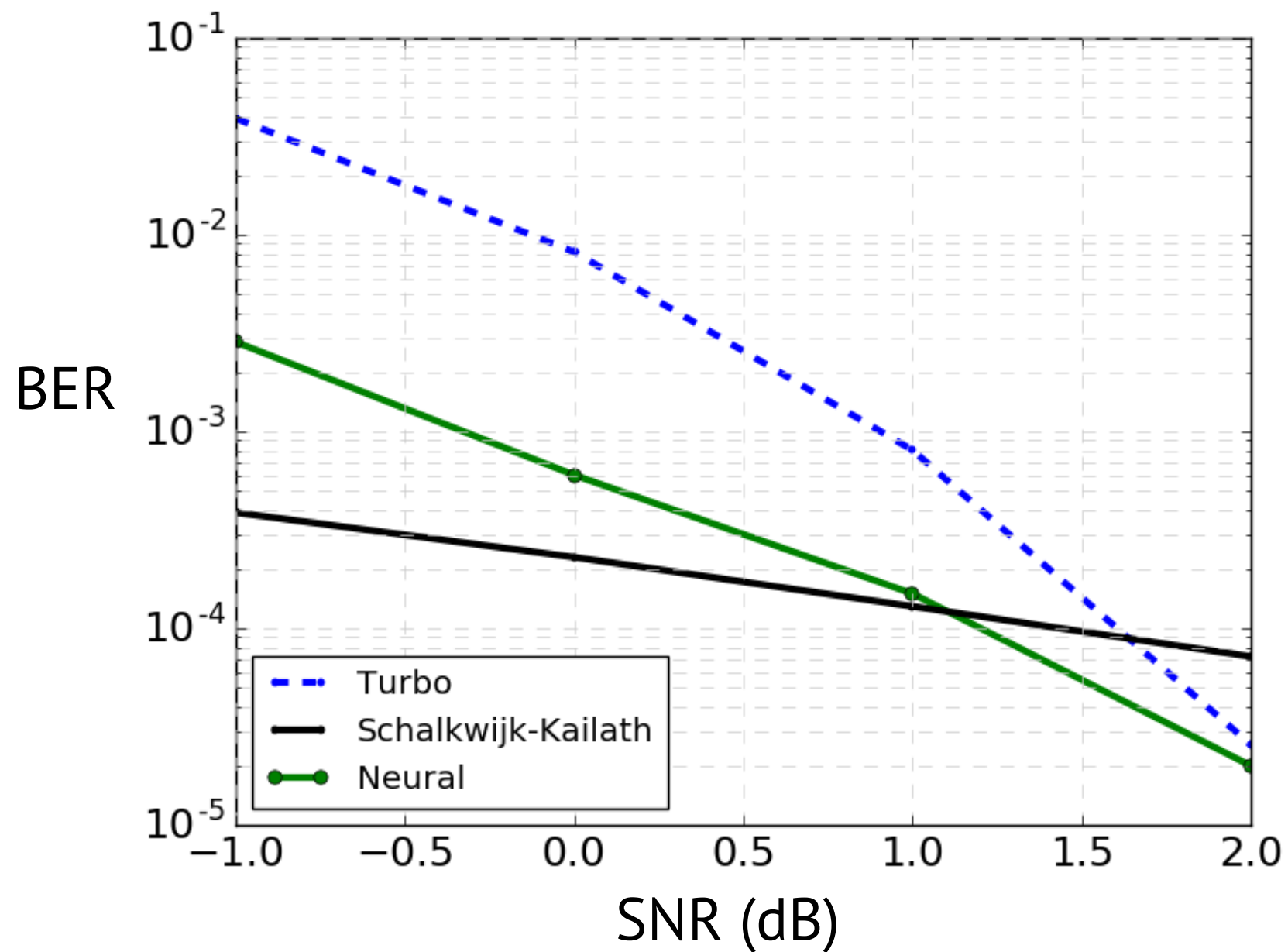
$$\mathbf{b} = (b_1, b_2, \dots, b_K)$$

- Loss : binary cross entropy

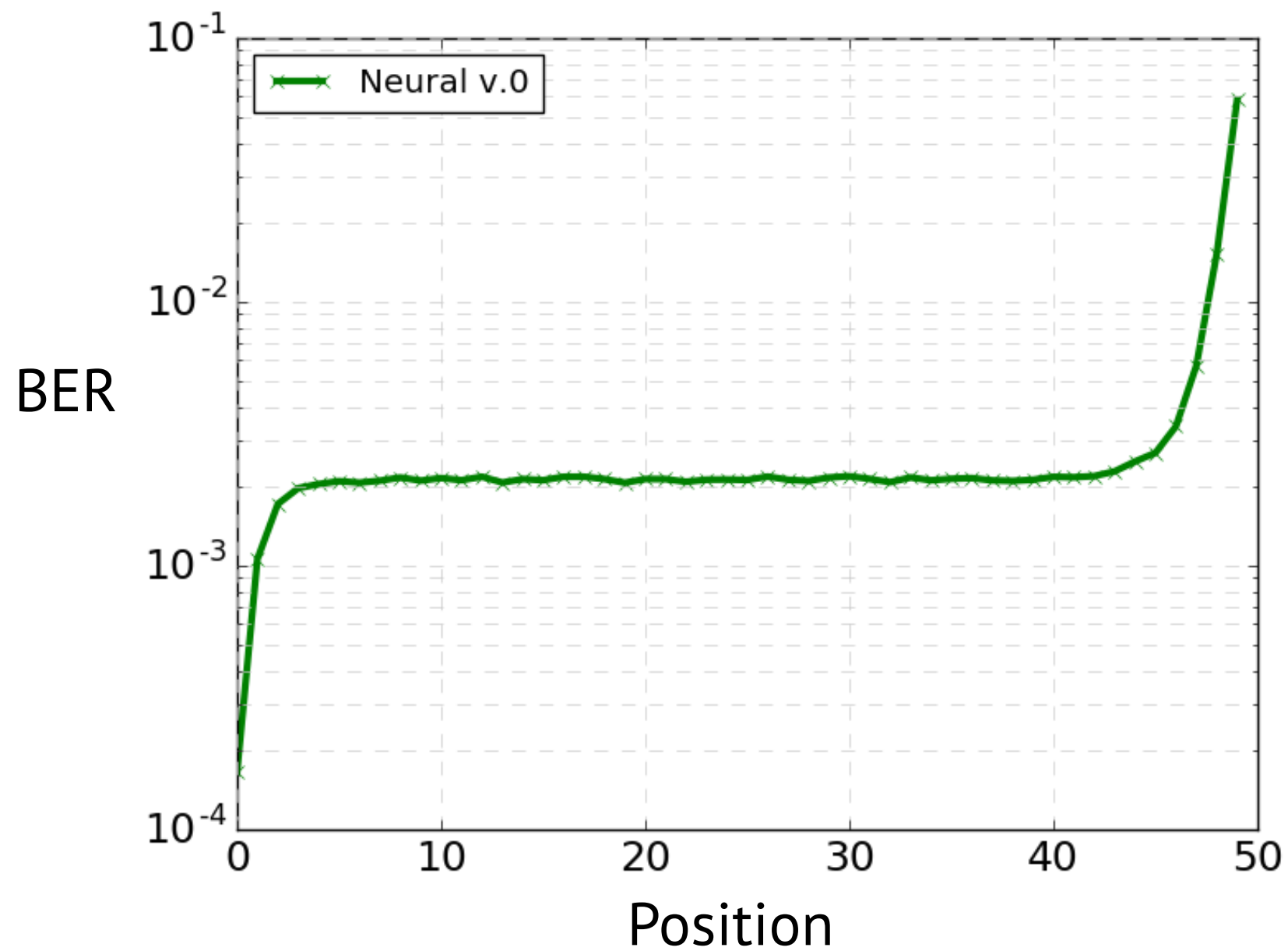
$$\mathcal{L}(\mathbf{b}, \hat{\mathbf{b}}) = -\mathbf{b} \log \hat{\mathbf{b}} - (1 - \mathbf{b}) \log(1 - \hat{\mathbf{b}})$$

- Training code length :
  - ▶ long enough (100)

# Intermediate results



# High error in the last bits

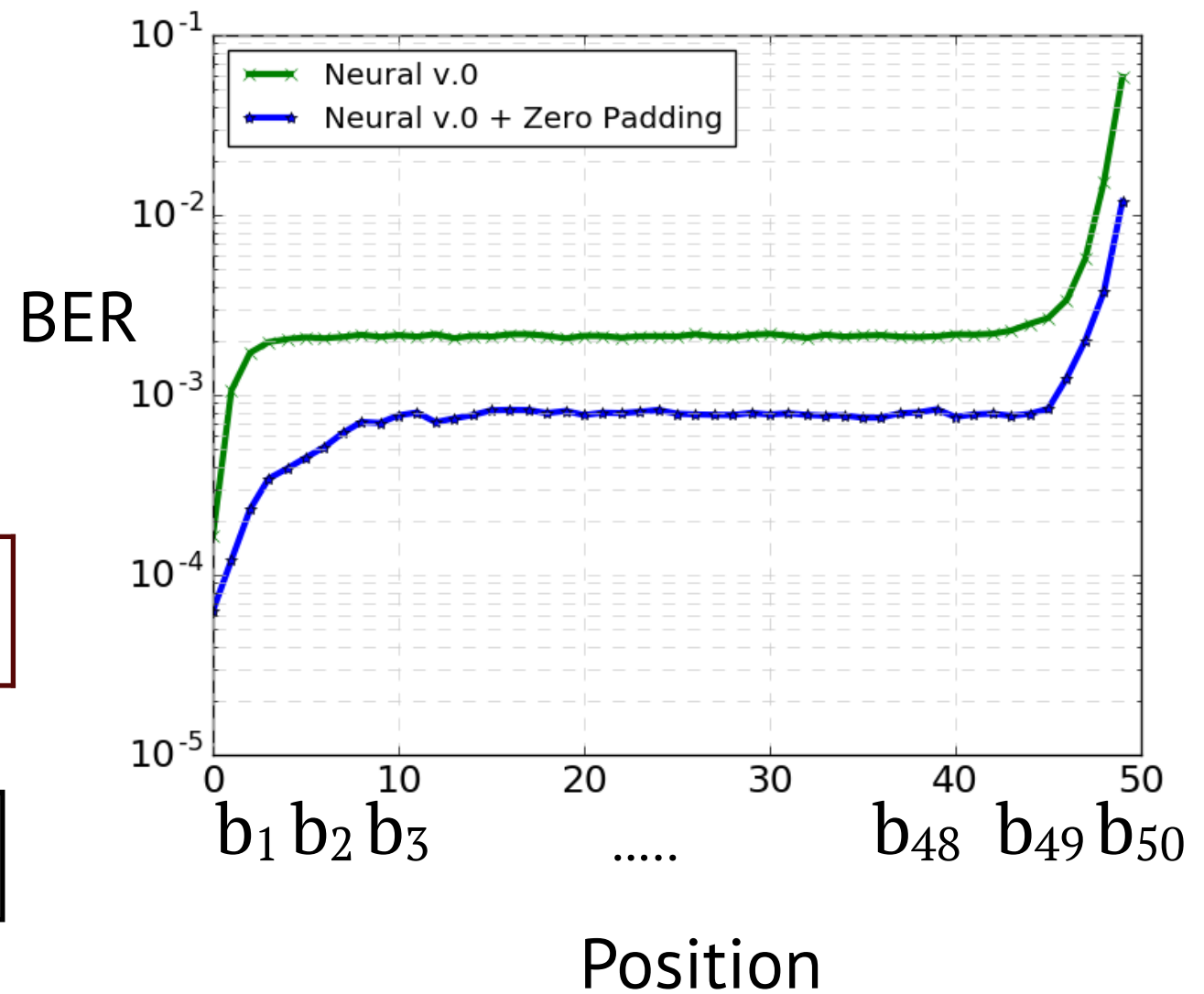
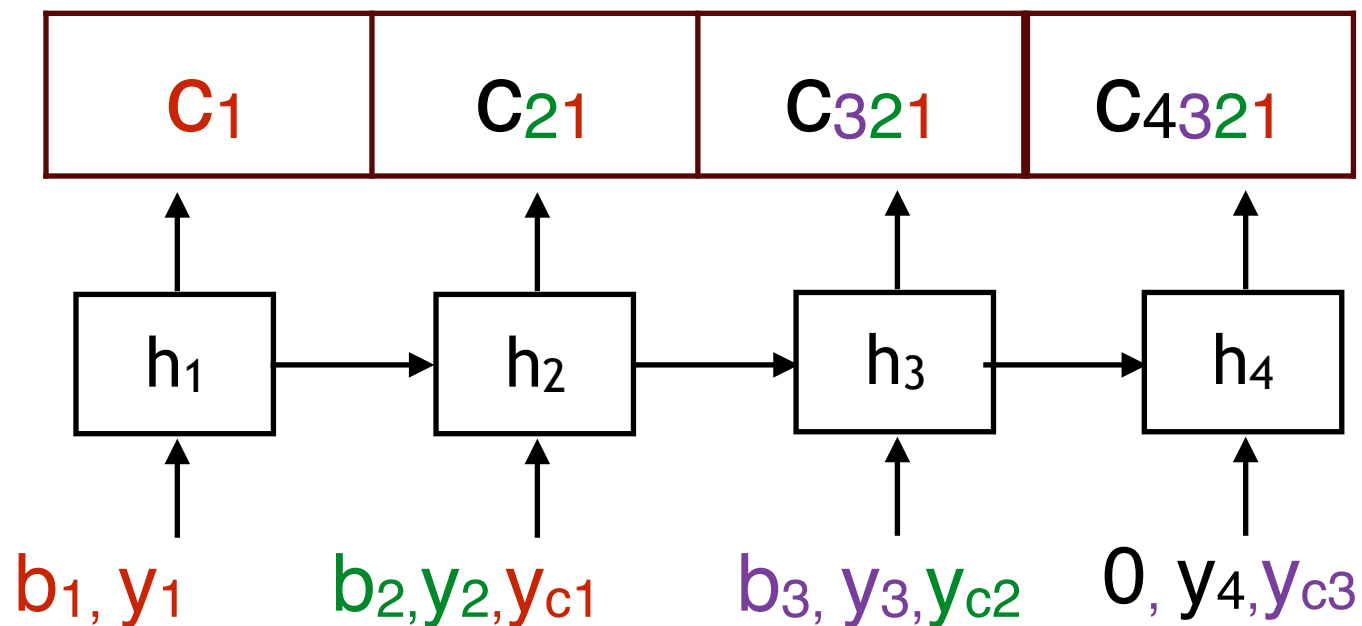


# Idea 1. Zero padding

Phase I.

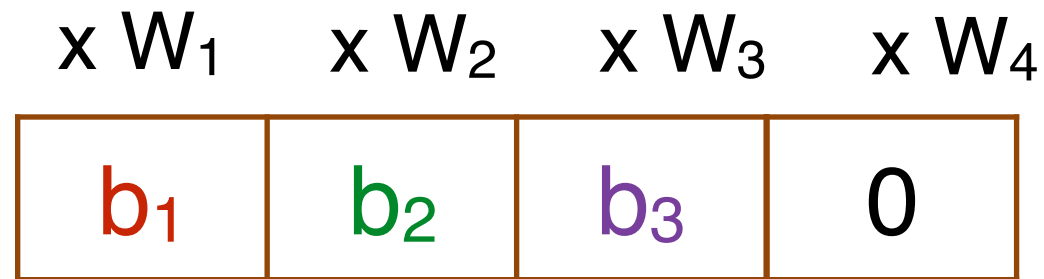


Phase II.

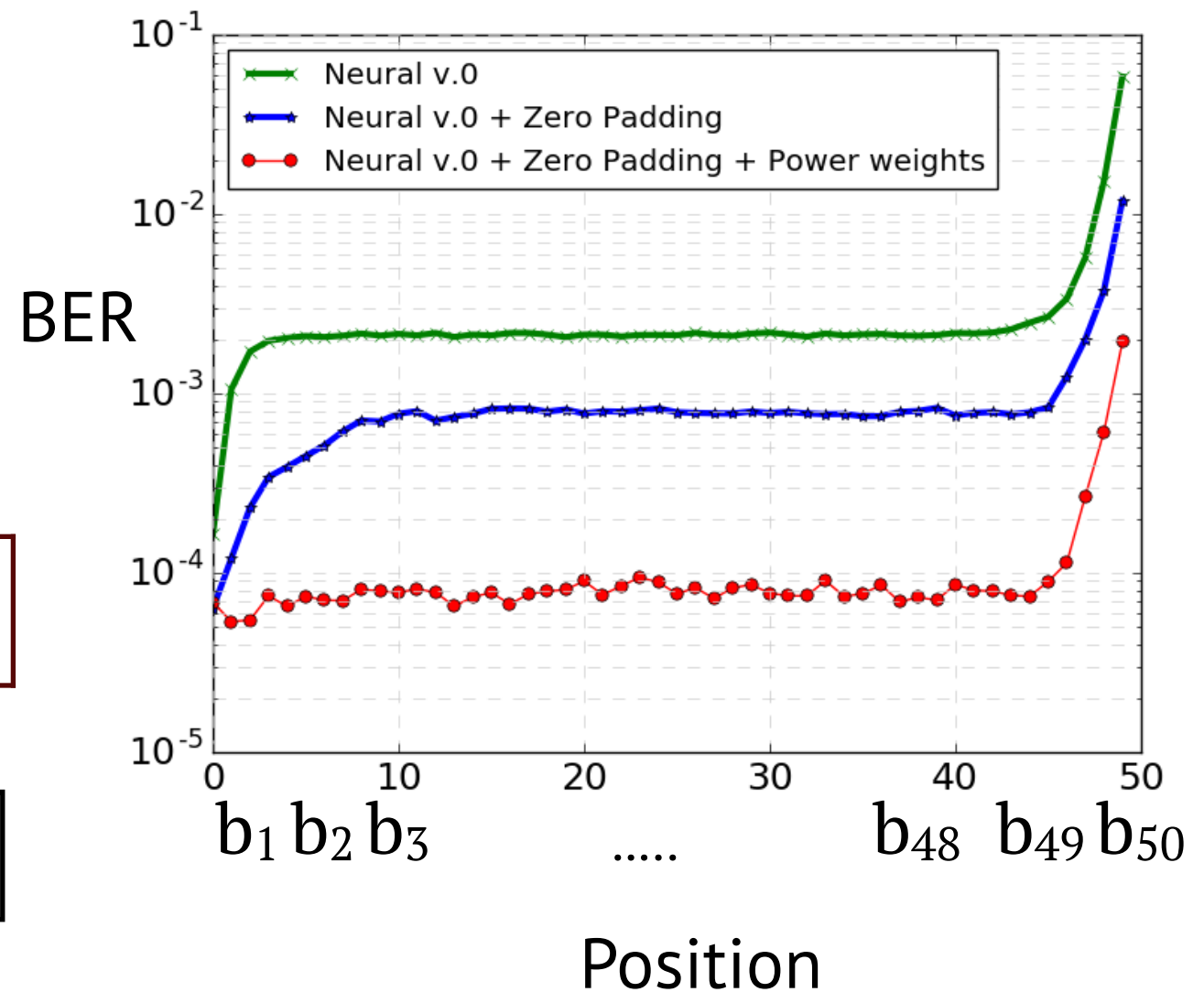
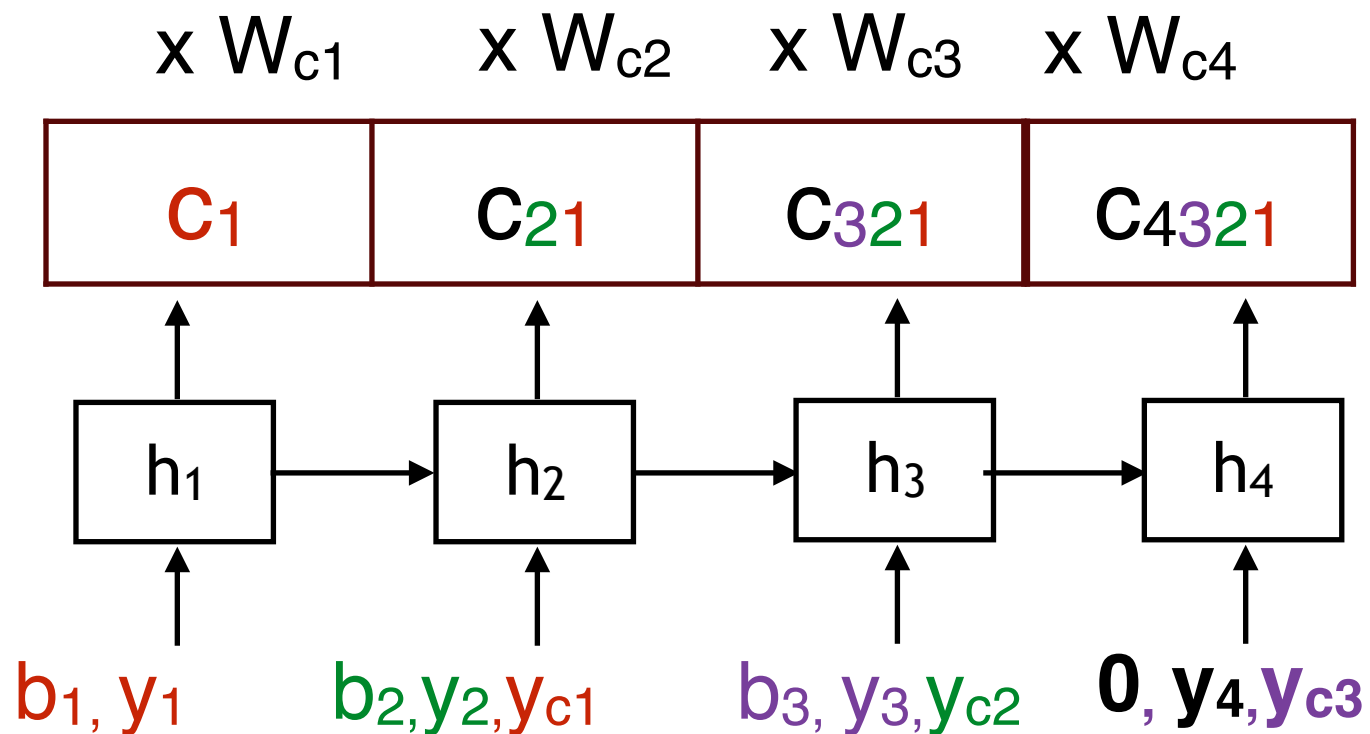


# Idea 2. Power allocation

Phase I.

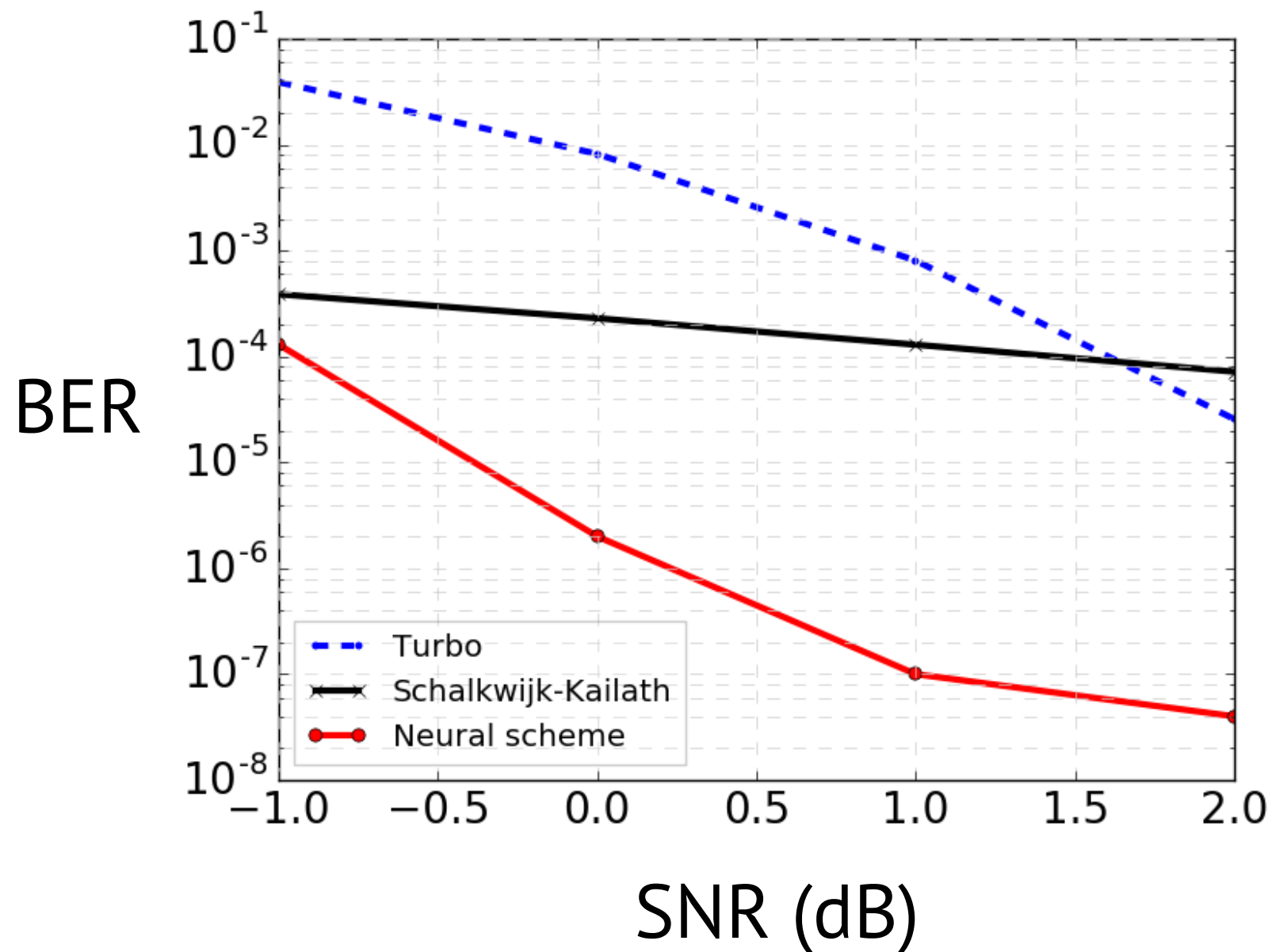


Phase II.



# Results

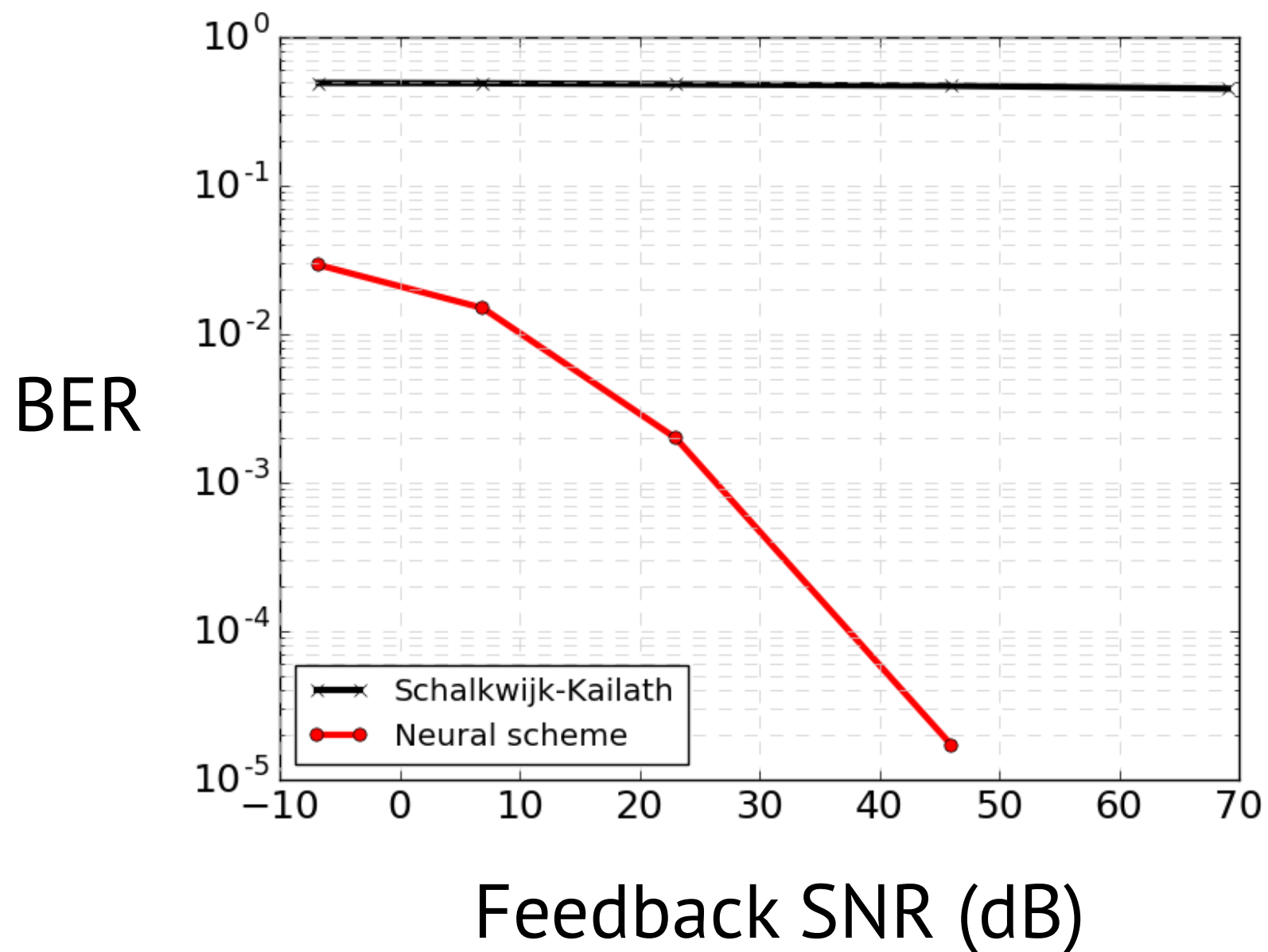
- 100x better reliability under feedback w. machine precision



(Rate 1/3, 50 bits)

# Results

- Robust to noise in the feedback

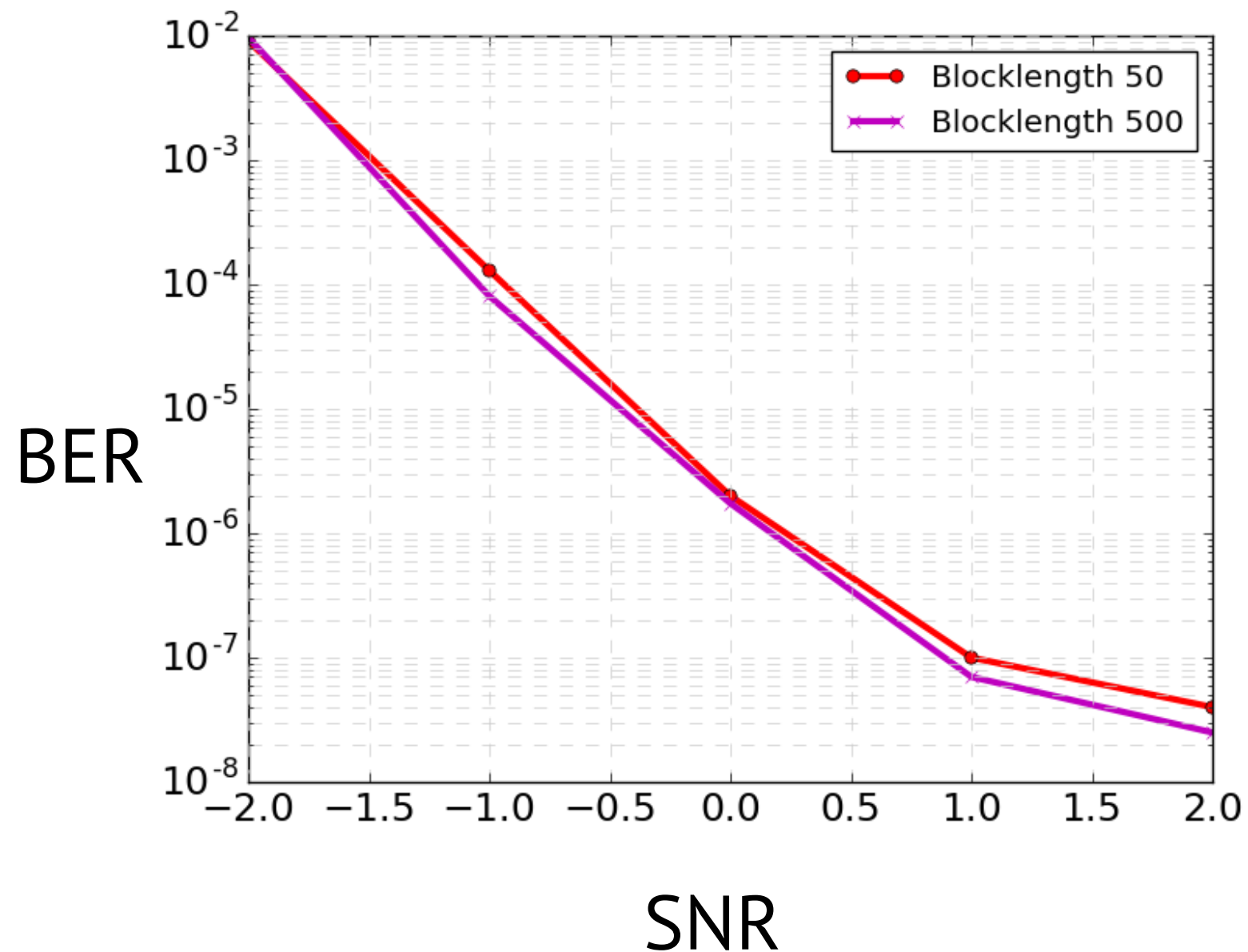


(Rate 1/3, 50 bits, 0dB)



# Generalization : block lengths

- Train on block length 100. Test on block lengths 50 & 500



# Improved error exponents

- Concatenated code : turbo + neural feedback code
  - BER decays faster

